



WALES AUDIT OFFICE  
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru  
Auditor General for Wales

# Audit Committee Update – **Abertawe Bro Morgannwg University Health Board**

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We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

The team providing this Audit Committee update comprised Carol Moseley and Geraint Norman.

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## About this document

- 1 This document provides the Audit Committee with an update on current and planned Wales Audit Office work as set out in our Audit Plans. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

## Audit plan

- 2 Details of the finalisation of our audit plans for 2017 and 2018 is summarised in [Exhibit 1](#).

### Exhibit 1: audit plans

Area of work	Current status
Audit Plan 2017	Complete - presented to Audit Committee March 2017.
Audit Plan 2018	Complete - presented to Audit Committee March 2018.

## Financial audit update

- 3 Our key financial audit reports are set out in [Exhibit 2](#).

### Exhibit 2: financial audit update

Area of work	Current status
Annual Audit Report 2017	Presented to Audit Committee and Board March 2018.
Accounts Audit – Audit of Financial Statements Report 2017-18	To be received by Audit Committee June 2018.
Charitable Funds – Audit of Financial Statements Report 2017-18	Audit completion expected October 2018. Report to be received at Charitable Funds Committee.

## Performance audit update

- 4 The following tables set out the performance audit work included in our 2017 and 2018 audit plans for the Health Board, summarising completed work ([Exhibit 3](#)), work that is currently underway ([Exhibit 4](#)) and planned work which has not yet started ([Exhibit 5](#)).

Exhibit 3: work completed<sup>1</sup>

Area of work	Status
Structured Assessment (2017)	Presented to Audit Committee March 2018.

Exhibit 4: work currently in progress

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Primary Care (2017) Executive lead: Chris White	This study will involve national and local audit work.	Briefing note issued and local fieldwork commencing.	TBC.- September 2018
Cross-sector thematic (2017): Intermediate Care Fund Executive lead: Sian Harrop-Griffiths	This review will involve all public sector bodies, examining ICF arrangements at national and regional levels. Local findings will be presented to RPBs prior to a national report.	Briefing note issued to Executive and Western Bay lead(s) and local fieldwork commencing.	TBC – November 2018 (local RPB findings) and national report anticipated January 2019.

Exhibit 5: planned work not yet started

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Local audit work (2017) Executive lead: Director of Corporate Governance	Board development session in 2018 on assurance, scrutiny and challenge.	Details to be agreed with the Director of Corporate Governance in May 2018.	-

<sup>1</sup> As part of our programme of local audit work at Public Health Wales NHS Trust (PHW), we have reported on collaborative arrangements for managing local public health resources. The Health Board's Audit Committee considered this report and the consolidated NHS Wales management response at its meeting in January 2018.

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
NHS Structured Assessment <sup>2</sup> (2018)	This work will continue to assess the robustness of governance arrangements, and the progress being made in addressing issues previously identified.	Scoping	TBC – January 2019
Orthopaedic Services: Follow up (all-Wales thematic review 2018)	To examine the progress made since 2015 in responding to recommendations and developing arrangements to help manage the demand on / supply of orthopaedic services.	Scoping	TBC
Clinical Coding: Follow up (all-Wales thematic review 2018)	To examine the progress made since 2014 in responding to recommendations and the extent to which there have been improvements in the profile of clinical coding, the timeliness and quality of clinical coding data, and the quality of the medical records.	Scoping	TBC
Local project (2018)	To be agreed with executive officers and the Audit Committee	Discussion with Officers	TBC

<sup>2</sup> Structured assessment work includes a review of the Health Board's arrangements for tracking progress against previous audit recommendations.

## Good practice

- 5 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research. Our Good Practice Exchange (GPX) team also facilitates a programme of shared learning events, details of which can be found on the [GPX website](#). Events for 2018-19 include:
- 18 April: [Sustainable Procurement](#) – webinar.
  - 16 May: [Moving from Outputs to Outcomes](#) - webinar
  - 5 June: [Independence and well-being through digital ambition](#) - seminar
  - 12 June: [Adverse Childhood Experiences](#) - webinar
  - 4 July **Building Resilient Communities** - seminar
  - August (tbc) - data webinar about ‘Using Data Effectively’
  - 19 Sept [Partnership working \(Voluntary and Public Sector\)](#) – seminar
  - 4 Dec [Alternative Delivery Model](#) – seminar
  - 24 January [Cybersecurity](#) - Governance arrangements - webinar
  - 5 February [Preventing Hospital admissions](#) - seminar
  - 12 March [Youth](#) - seminar

## NHS-related national studies

- 6 The Audit Committee may also be interested in the programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded by the National Assembly and are presented to the National Assembly’s Public Accounts Committee to support its scrutiny of public expenditure.
- 7 **Exhibit 6** provides information on the NHS-related or relevant national studies published in the last 12 months. It also includes all-Wales summaries of work undertaken locally in the NHS.

### Exhibit 6: NHS-related national studies and all-Wales summary reports

Topic	Publication details
<a href="#">Housing adaptations</a>	This report was published 22 February 2018.
<a href="#">Informatics systems in NHS Wales</a>	This report was published 10 January 2018.
<a href="#">District nursing services in Wales</a> – checklist for Board Members	This checklist was published 7 December 2017
<a href="#">Public procurement in Wales</a>	This report was published 17 October 2017

Topic	Publication details
<a href="#"><u>The Welsh Governments supporting people programme</u></a>	This report was published 31 August 2017
<a href="#"><u>Audit of Cardiff and Vale University Health Board's contractual relationships with RKC Associates Ltd and its owner</u></a>	This report was published 17 July 2017
<a href="#"><u>Implementation of the NHS Finances (Wales) Act 2014</u></a>	This report was published 7 July 2017
<a href="#"><u>An overview of governance arrangements – Betsi Cadwaladr University Health Board</u></a>	This report was published 29 June 2017
<a href="#"><u>Outpatient follow-up appointments – briefing paper to the Planned Care Programme Board</u></a>	This paper was published 31 May 2017.
<a href="#"><u>Ensuring value for money in the use of single tender actions</u></a> – checklist for Board Members	This checklist was published 19 April 2017.



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