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| <b>ABM University<br/>Health Board</b>  |  |
| <b>Date of Meeting: 23rd January 2018<br/>Name of Meeting: Audit Committee<br/>Agenda item:3a</b> |  |
| <b>Subject</b>  | <b>Losses and Special Payments Report</b>  |
| <b>Prepared by</b>  | <b>Andrew Biston, Head of Accounting</b>   |
| <b>Approved by</b>  | <b>Lynne Hamilton, Director of Finance</b> |
| <b>Presented by</b>   | <b>Lynne Hamilton, Director of Finance</b> |

## 1. Situation

- 1.1. This report provides the Audit Committee with an update on losses and special payments for the period 1<sup>st</sup> October 2017 to 30<sup>th</sup> November 2017 and an analysis of the gross losses for 1<sup>st</sup> April 2017 to 30<sup>th</sup> November 2017 compared to 1st April 2016 to 30th November 2016.

## 2. Losses & Special Payments in the Period

- 2.1. The losses and special payments recorded during the period totalled £9,792,813, with the most significant payments relating to Clinical Negligence totalling £9,523,513 and Personal Injury payments totalling £78,925. The remaining losses of £190,375 relate to losses arising as a result of write off of obsolete drug stock, ex gratia payments, debts written off, loss of cash, compensation and redress payments.
- 2.2. These losses are detailed in **Appendices A and B**, and summarised in the table below:

| <b>Loss Type</b>                     | <b>Value (£)</b> |
|--------------------------------------|------------------|
| Obsolete Drug Stock                  | 9,463            |
| Ex Gratia Payments                   | 4,146            |
| Loss of Cash                         | 90               |
| Debts Written Off                    | 3,342            |
| Compensation Payments                | 793              |
| Redress Payments                     | 172,541          |
| Clinical Negligence - Defence Fees   | 133,933          |
| Clinical Negligence – Claimant Costs | 432,250          |
| Clinical Negligence – Damages        | 8,851,181        |
| Clinical Negligence – CRU Payments   | 106,149          |
| Personal Injury – Defence Fees       | 32,322           |
| Personal Injury – Claimant Costs     | 7,620            |
| Personal Injury - Damages            | 38,356           |
| Personal Injury – CRU Payments       | 627              |
| <b>Total</b>                         | <b>9,792,813</b> |

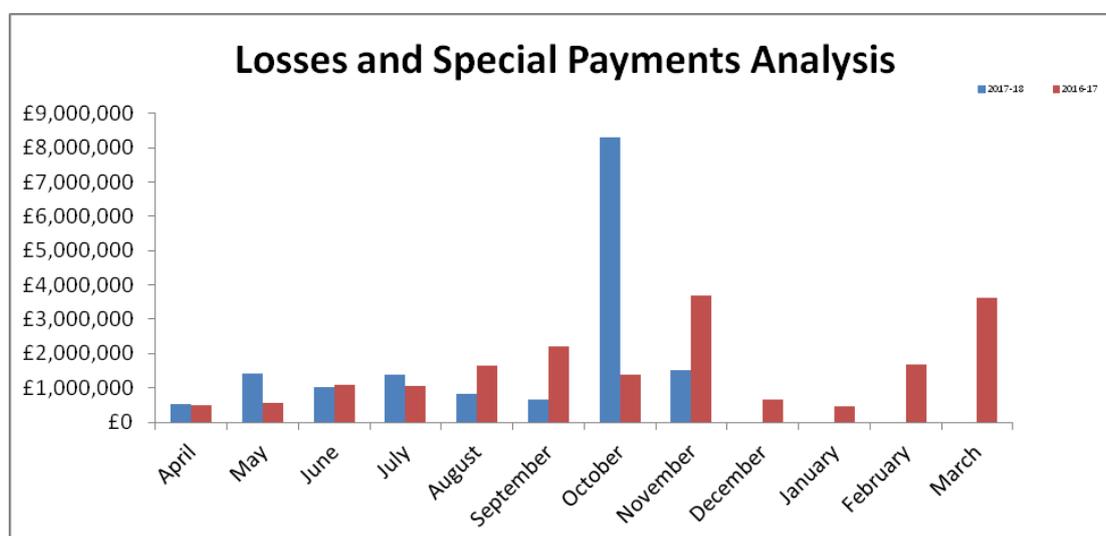
### 3. Welsh Risk Pool

3.1. Of the £9,602,438 paid out in the period for Clinical Negligence and Personal Injury, £9,446,009 will be reclaimable from the Welsh Risk Pool. In addition, redress payments made under the Welsh Government's Putting Things Right Scheme (with the exception of legal fees) are reimbursed by the Welsh Government on a quarterly basis. Taking this recovery into account, the actual loss to the Health Board in the period totals £193,874 as summarised in the table below:

| Losses & Special Payments                | Amount<br>£      |
|--|------------------|
| Clinical Negligence                      | 9,523,513        |
| Personal Injury                          | 78,925           |
| Obsolete Drug Stock                      | 9,463            |
| Ex Gratia Payments                       | 4,146            |
| Loss of Cash                             | 90               |
| Debts Written Off                        | 3,342            |
| Compensation Payments                    | 793              |
| Redress Payments                         | 172,541          |
| <b>Sub Total</b>                         | <b>9,792,813</b> |
| Less WRP Recovery                        | -9,446,009       |
| Less Redress Payments Reimbursed by WG   | -152,930         |
| <b>Health Board Losses in the Period</b> | <b>193,874</b>   |

### 4. Comparison Analysis

4.1. For the period 1st April 2017 to 30<sup>th</sup> November 2017, the Health Board has incurred gross losses totalling £15.641m compared to £12.173m for the period 1<sup>st</sup> April 2016 to 30<sup>th</sup> November 2016. The chart below analyses these losses and special payments on a month by month basis.



4.2. The Health Board is able to recover some of these losses from the Welsh Risk Pool, where costs exceed £25,000 per case for Clinical Negligence and Personal Injury Claims and from Welsh Government for all redress payments (with the exception of legal fees) made under the Putting Things Right scheme. Therefore, after the recoveries are taken into account, the net loss for the period totals £1,596,424 for the period 1<sup>st</sup> April 2017 to 30<sup>th</sup> November 2017 and £1,586,946 for the period 1<sup>st</sup> April 2016 to 30<sup>th</sup> November 2016.

4.3. As reported in paragraph 4.1 above, the gross losses for the period 1<sup>st</sup> April 2017 to 30<sup>th</sup> November 2017 are £3.468m higher than for the period 1<sup>st</sup> April 2016 to 30<sup>th</sup> November 2016. The graph above shows that there are variations in payments across the year with there being no discernible trend to when cases are settled. The graph, however, highlights that the October 2017 payments are the largest of any month over the period by some distance this being due to settlement payments of £6.421m on a paediatric case at Singleton Hospital dating back to 2009, the case relating to a delay in diagnosing meningitis.

4.4. It must be noted that the net loss to the Health Board for the period can fluctuate depending on the stages at which claims are in the overall claims process with all payments up to £25,000 being fully charged to the Health Board which means that in the early stages of managing a case all costs incurred will be a net charge to the Health Board until the £25,000 “excess” is reached.

4.5. During October, the Health Board made payments against 68 clinical negligence cases and 11 personal injury cases, with the corresponding figures for November being 48 clinical negligence cases and 20 personal injury cases. As at the end of November, the Health Board had 507 open clinical negligence cases for which a provision was in place and 105 open personal injury cases for which a provision was in place.

4.6. The table below shows the cases where payments of £100,000 or more were paid out during October and November 2017.

| <b>Case Ref</b> | <b>Location</b>   | <b>Speciality</b>    | <b>Amount (£)</b> |
|-----------------|-------------------|----------------------|-------------------|
| 09RVCMN0077     | Singleton         | Paediatrics          | 6,421,266         |
| 13RYMMN0078     | Singleton         | Not Specified        | 391,676           |
| 16RYMMN0120     | Princess of Wales | A&E                  | 360,000           |
| 10RYMMN0223     | Princess of Wales | Women & Child Health | 332,265           |
| 08RVCMN0008     | Morrison          | Neurology            | 230,000           |
| 10RYMMN0057     | Princess of Wales | Women & Child Health | 217,343           |
| 15RYMMN0105     | Princess of Wales | General Medicine     | 207,465           |

|             |                   |                          |         |
|-------------|-------------------|--------------------------|---------|
| 13RYMMN0010 | Neath Port Talbot | Not Specified            | 200,650 |
| 14RYMMN0034 | Singleton         | Women & Child Heath      | 200,000 |
| 14RYMMN0120 | Singleton         | Obstetrics & Gynaecology | 150,000 |
| 15RYMMN0029 | Morriston         | Spinal                   | 109,716 |

## 5 Recommendations

- 5.1 The Audit Committee is asked to note the losses and special payments in the period of £9,792,813, of which £9,446,009 will be recovered from the Welsh Risk Pool and £152,930 from Welsh Government, leaving an actual loss to the Health Board in the period of £193,874.
- 5.2 These losses and special payments will need to be reported to the Board and disclosed in the Health Board's Annual Accounts.
- 5.3 The Committee is also asked to note the comparison of gross losses payments for the period 1<sup>st</sup> April 2017 to 30<sup>th</sup> November 2017 when compared to the period 1<sup>st</sup> April 2016 to 30<sup>th</sup> November 2016.
- 5.4 The Committee is asked to note the cases where payments of £100,000 or more were paid in the period 1<sup>st</sup> October 2017 to 30<sup>th</sup> November 2017.

Abertawe Bro Morgannwg University LHB  
Losses & Special Payments - 2017/18

Period from 1st October to 30th November 2017

| Clinical Negligence |             |                   |                          | Settlement of Damages | Claimant Costs | CRU Payments | Defence | Total Paid in Period | Amount Paid in prior Period | Loss to HB in Period | Total Paid to Date |
|---------------------|-------------|-------------------|--------------------------|-----------------------|----------------|--------------|---------|----------------------|-----------------------------|----------------------|--------------------|
| Date                | Case Ref    | Location          | Speciality               | £                     | £              | £            | £       | £                    | £                           | £                    | £                  |
| Oct-17              | 09RYCMN0077 | Singleton         | Paediatrics              | 6,304,640             | 100,000        | 16,626       |         | 6,421,266            | 2,000,044                   | 0                    | 8,421,310          |
| Oct-17              | 10RYMMN0212 | Princess of Wales | Women & Child Health     |                       |                |              | 25      | 720,323              | 0                           | 0                    | 720,348            |
| Oct-17              | 10RYMMN0223 | Princess of Wales | Women & Child Health     | 300,000               | 30,000         |              | 2,265   | 332,265              | 625,967                     | 0                    | 958,232            |
| Oct-17              | 11RYMMN0127 | Princess of Wales | Gynaecology              |                       |                |              | 2,090   | 2,090                | 0                           | 2,090                | 2,090              |
| Oct-17              | 11RYMMN0162 | Princess of Wales | Medical Negligence       |                       |                |              | 300     | 300                  | 141,948                     | 0                    | 142,248            |
| Oct-17              | 12RYMMN0001 | Princess of Wales | Medical Negligence       |                       |                |              | 1,000   | 1,000                | 96,394                      | 0                    | 97,394             |
| Oct-17              | 12RYMMN0047 | Neath Port Talbot | Medical Negligence       |                       |                |              | 375     | 375                  | 4,075                       | 375                  | 4,450              |
| Oct-17              | 12RYMMN0107 | Neath Port Talbot | Medical Negligence       |                       |                |              | 3,310   | 3,310                | 6,199                       | 3,310                | 9,509              |
| Oct-17              | 12RYMMN0140 | Morrison          | Medical Negligence       |                       |                |              | 3,060   | 3,060                | 24,546                      | 454                  | 27,606             |
| Oct-17              | 13RYMMN0010 | Not Specified     | Medical Negligence       |                       | 60,000         |              |         | 60,000               | 623,255                     | 0                    | 683,255            |
| Oct-17              | 13RYMMN0037 | Morrison          | Cardiac Surgery          |                       |                |              | 1,400   | 1,400                | 4,513                       | 1,400                | 5,913              |
| Oct-17              | 13RYMMN0065 | Morrison          | Medical Negligence       |                       |                |              | 850     | 850                  | 0                           | 850                  | 850                |
| Oct-17              | 13RYMMN0078 | Singleton         | Medical Negligence       |                       |                | 40,824       |         | 40,824               | 414,990                     | 0                    | 455,815            |
| Oct-17              | 13RYMMN0078 | Singleton         | Medical Negligence       | 324,176               | 67,500         |              |         | 391,676              | 64,139                      | 0                    | 455,815            |
| Oct-17              | 13RYMMN0078 | Singleton         | Medical Negligence       |                       |                |              | 4,438   | 4,438                | 451,377                     | 0                    | 455,815            |
| Oct-17              | 13RYMMN0094 | Princess of Wales | Medical Negligence       |                       |                |              | 965     | 965                  | 70,021                      | 0                    | 70,986             |
| Oct-17              | 13RYMMN0124 | Morrison          | Paediatrics              | 20,000                |                |              |         | 20,000               | 2,300                       | 20,000               | 22,300             |
| Oct-17              | 13RYMMN0178 | Princess of Wales | Accident & Emergency     |                       |                |              | 250     | 250                  | 5,830                       | 250                  | 6,080              |
| Oct-17              | 13RYMMN0196 | Singleton         | General Medicine         |                       |                |              | 1,400   | 1,400                | 6,235                       | 1,400                | 7,635              |
| Oct-17              | 13RYMMN0225 | Singleton         | Obstetrics & Gynaecology |                       |                |              | 2,443   | 2,443                | 20,845                      | 2,443                | 23,288             |
| Oct-17              | 13RYMMN0235 | Singleton         | Obstetrics & Gynaecology | 50,000                |                |              | 4,384   | 54,384               | 141,761                     | 0                    | 196,145            |
| Oct-17              | 14RYMMN0088 | Morrison          | General Surgery          | 5,000                 |                |              | 1,433   | 6,433                | 81,549                      | 0                    | 87,982             |
| Oct-17              | 14RYMMN0097 | Singleton         | Gynaecology              |                       |                |              | 5,699   | 5,699                | 68                          | 5,699                | 5,767              |
| Oct-17              | 14RYMMN0120 | Singleton         | Obstetrics & Gynaecology | 150,000               |                |              |         | 150,000              | 4,770                       | 20,230               | 154,770            |
| Oct-17              | 14RYMMN0136 | Neath Port Talbot | Orthopaedics             |                       |                | 8,822        |         | 8,822                | 58,749                      | 0                    | 67,571             |
| Oct-17              | 14RYMMN0183 | Not Specified     | Medical Negligence       |                       |                |              | 2,625   | 2,625                | 2,210                       | 2,625                | 4,835              |
| Oct-17              | 15RYMMN0015 | Princess of Wales | General Surgery          |                       |                |              | 656     | 656                  | 0                           | 656                  | 656                |
| Oct-17              | 15RYMMN0029 | Morrison          | Spinal                   |                       |                |              | 943     | 943                  | 124,947                     | 0                    | 125,890            |
| Oct-17              | 15RYMMN0036 | Princess of Wales | Multi Directorate        |                       |                |              | 3,275   | 3,275                | 7,300                       | 3,275                | 10,575             |
| Oct-17              | 15RYMMN0037 | Princess of Wales | Urology                  |                       | 38,000         |              |         | 38,000               | 110,033                     | 0                    | 148,033            |
| Oct-17              | 15RYMMN0046 | Singleton         | Casualty                 |                       |                |              | 2,340   | 2,340                | 20,339                      | 2,340                | 22,679             |
| Oct-17              | 15RYMMN0049 | Princess of Wales | Respiratory              | 9,000                 |                |              |         | 9,000                | 0                           | 9,000                | 9,000              |
| Oct-17              | 15RYMMN0062 | Princess of Wales | Gynaecology              |                       |                |              | 675     | 675                  | 5,317                       | 675                  | 5,992              |
| Oct-17              | 15RYMMN0081 | Singleton         | Obstetrics & Gynaecology |                       |                |              | 440     | 440                  | 80                          | 440                  | 520                |
| Oct-17              | 15RYMMN0106 | Forge Centre      | Mental Health            |                       |                |              | 938     | 938                  | 3,818                       | 938                  | 4,756              |
| Oct-17              | 15RYMMN0107 | Morrison          | Orthopaedics             |                       |                |              | 110     | 110                  | 0                           | 110                  | 110                |
| Oct-17              | 15RYMMN0143 | Princess of Wales | Orthopaedics             |                       |                |              | -525    | -525                 | 3,841                       | -525                 | 3,316              |
| Oct-17              | 15RYMMN0154 | Singleton         | Obstetrics & Gynaecology |                       |                |              | 583     | 583                  | 104,690                     | 0                    | 105,273            |
| Oct-17              | 15RYMMN0161 | Princess of Wales | General Surgery          |                       | 5,000          |              |         | 5,000                | 44,200                      | 0                    | 49,200             |
| Oct-17              | 15RYMMN0169 | Princess of Wales | Obstetrics & Gynaecology | 13,642                |                |              |         | 13,642               | 50,900                      | 0                    | 64,542             |
| Oct-17              | 15RYMMN0176 | Morrison          | Accident & Emergency     |                       |                |              | 6,365   | 6,365                | 129,125                     | 0                    | 135,490            |
| Oct-17              | 15RYMMN0185 | Neath Port Talbot | Chronic Pain             |                       |                |              | -60     | -60                  | 14,236                      | -60                  | 14,176             |
| Oct-17              | 15RYMMN0187 | Princess of Wales | Orthopaedics             |                       |                |              | 2,800   | 2,800                | 17,515                      | 2,800                | 20,315             |
| Oct-17              | 15RYMMN0189 | Princess of Wales | Obstetrics & Gynaecology |                       | 8,500          |              |         | 8,500                | 50,329                      | 0                    | 58,829             |
| Oct-17              | 15RYMMN0207 | Neath Port Talbot | Orthopaedics             | 21,000                |                |              |         | 21,000               | 30,213                      | 0                    | 51,213             |
| Oct-17              | 16RYMMN0030 | Princess of Wales | Diabetology              |                       | 4,250          |              |         | 4,250                | 31,891                      | 0                    | 36,141             |
| Oct-17              | 16RYMMN0074 | Neath Port Talbot | Orthopaedics             |                       |                | 1,250        |         | 1,250                | 50                          | 1,250                | 1,300              |
| Oct-17              | 16RYMMN0080 | Morrison          | Orthopaedics             | 8,000                 |                |              |         | 8,000                | 500                         | 8,000                | 8,500              |
| Oct-17              | 16RYMMN0082 | Princess of Wales | Orthopaedics             | 20,000                |                |              |         | 20,000               | 3,000                       | 20,000               | 23,000             |
| Oct-17              | 16RYMMN0083 | Princess of Wales | General Surgery          |                       |                |              | 4,025   | 4,025                | 2,275                       | 4,025                | 6,300              |
| Oct-17              | 16RYMMN0096 | Singleton         | Paediatrics              |                       | 5,000          |              |         | 5,000                | 0                           | 5,000                | 5,000              |
| Oct-17              | 16RYMMN0100 | Princess of Wales | Paediatrics              |                       |                |              | 50      | 50                   | 0                           | 50                   | 50                 |
| Oct-17              | 16RYMMN0103 | Singleton         | Oncology                 |                       |                |              | 600     | 600                  | 1,210                       | 600                  | 1,810              |
| Oct-17              | 16RYMMN0111 | Princess of Wales | Gynaecology              | 2,500                 |                |              |         | 2,500                | 1,000                       | 2,500                | 3,500              |
| Oct-17              | 16RYMMN0120 | Princess of Wales | Accident & Emergency     | 360,000               |                |              |         | 360,000              | 5,205                       | 19,795               | 365,205            |
| Oct-17              | 16RYMMN0144 | Princess of Wales | Elderly Mental Health    |                       |                |              | 338     | 338                  | 6,378                       | 338                  | 6,715              |
| Oct-17              | 16RYMMN0171 | Singleton         | Oncology                 |                       |                |              | 750     | 750                  | 60                          | 750                  | 810                |
| Oct-17              | 16RYMMN0190 | Singleton         | Gynaecology              | 10,000                |                |              | 700     | 10,700               | 0                           | 10,700               | 10,700             |
| Oct-17              | 17RYMMN0007 | Morrison          | A&E                      |                       |                |              | 1,250   | 1,250                | 250                         | 1,250                | 1,500              |
| Oct-17              | 17RYMMN0026 | Princess of Wales | General Surgery          |                       |                |              | 50      | 50                   | 0                           | 50                   | 50                 |
| Oct-17              | 17RYMMN0030 | Morrison          | Urology                  | 50,000                |                |              |         | 50,000               | 605,270                     | 0                    | 655,270            |
| Oct-17              | 17RYMMN0049 | Morrison          | Gastroenterology         |                       |                |              | 50      | 50                   | 0                           | 50                   | 50                 |
| Oct-17              | 17RYMMN0079 | Neath Port Talbot | Minor Injury Unit        | 5,130                 |                |              |         | 5,130                | 0                           | 5,130                | 5,130              |
| Oct-17              | 17RYMMN0098 | Singleton         | General Surgery          |                       |                |              | 1,100   | 1,100                | 1,895                       | 1,100                | 2,995              |
| Oct-17              | 17RYMMN0110 | Neath Port Talbot | Minor Injury Unit        |                       |                |              | 55      | 55                   | 0                           | 55                   | 55                 |
| Oct-17              | 17RYMMN0125 | Morrison          | Cardiac                  |                       |                |              | 50      | 50                   | -50                         | 50                   | 50                 |
| Oct-17              | 18RYMMN0064 | Singleton         | Ophthalmology            |                       |                |              | 50      | 50                   | 0                           | 50                   | 50                 |
| Oct-17              | 18RYMMN0079 | Caswell Clinic    | Adult Mental Health      | 70,000                |                |              |         | 70,000               | 0                           | 25,000               | 70,000             |
| Nov-17              | 07RYCMN0045 | Singleton         | Obstetrics & Gynaecology | 40,000                |                |              |         | 40,000               | 677,706                     | 0                    | 717,706            |
| Nov-17              | 08RYCMN0008 | Morrison          | Neurology                | 190,123               |                | 39,877       |         | 230,000              | 11,486                      | 13,514               | 241,486            |
| Nov-17              | 09RYCMN0077 | Singleton         | Paediatrics              |                       |                |              | 875     | 875                  | 8,420,435                   | 0                    | 8,421,310          |
| Nov-17              | 10RYMMN0057 | Princess of Wales | Women & Child Health     | 217,343               |                |              |         | 217,343              | 2,166,394                   | 0                    | 2,383,738          |
| Nov-17              | 10RYMMN0223 | Princess of Wales | Women & Child Health     |                       |                |              | 8,911   | 8,911                | 949,320                     | 0                    | 958,232            |
| Nov-17              | 11RYMMN0162 | Princess of Wales | Medical Negligence       | 35,000                | 20,000         |              |         | 57,925               | 84,323                      | 0                    | 142,248            |
| Nov-17              | 12RYMMN0047 | Neath Port Talbot | Medical Negligence       |                       |                |              | 1,200   | 1,200                | 3,250                       | 1,200                | 4,450              |
| Nov-17              | 12RYMMN0107 | Neath Port Talbot | Medical Negligence       |                       |                |              | 375     | 375                  | 9,134                       | 375                  | 9,509              |
| Nov-17              | 12RYMMN0140 | Morrison          | Medical Negligence       |                       |                |              | 250     | 250                  | 27,356                      | 0                    | 27,606             |
| Nov-17              | 13RYMMN0010 | Neath Port Talbot | Medical Negligence       | 200,000               |                |              |         | 200,650              | 482,605                     | 0                    | 683,255            |
| Nov-17              | 13RYMMN0075 | Singleton         | Multi Directorate        | 30,000                | 30,000         |              |         | 60,000               | 33,237                      | 0                    | 93,237             |
| Nov-17              | 13RYMMN0094 | Princess of Wales | Medical Negligence       |                       |                |              | 1,202   | 1,202                | 69,784                      | 0                    | 70,986             |
| Nov-17              | 13RYMMN0115 | Morrison          | Orthopaedics             | 20,000                |                |              |         | 20,000               | 71,510                      | 0                    | 91,510             |
| Nov-17              | 13RYMMN0142 | Princess of Wales | Accident & Emergency     |                       |                |              | -600    | -600                 | 4,800                       | -600                 | 4,200              |
| Nov-17              | 13RYMMN0151 | Singleton         | Medical Negligence       |                       | 39,000         |              |         | 39,000               | 52,330                      | 0                    | 91,330             |
| Nov-17              | 13RYMMN0208 | Morrison          | Orthopaedics             | 5,000                 |                |              |         | 5,900                | 6,207                       | 5,900                | 12,107             |
| Nov-17              | 14RYMMN0034 | Singleton         | Women & Child Health     | 200,000               |                |              |         | 200,000              | 693,418                     | 0                    | 893,418            |
| Nov-17              | 14RYMMN0065 | Morrison          | Orthopaedics             | 20,000                |                |              |         | 20,250               | 3,875                       | 20,250               | 24,125             |
| Nov-17              | 14RYMMN0110 | Morrison          | Accident & Emergency     |                       |                |              | 1,706   | 1,706                | 3,150                       | 1,706                | 4,856              |
| Nov-17              | 14RYMMN0164 | Neath Port Talbot | Mental Health            |                       |                |              | 1,300   | 1,300                | 0                           | 1,300                | 1,300              |
| Nov-17              | 15RYMMN0011 | Princess of Wales | Elderly Care             |                       |                |              | 10,975  | 10,975               | 9,573                       | 10,975               | 20,548             |
| Nov-17              | 15RYMMN0023 | Neath Port Talbot | Accident & Emergency     | 627                   |                |              |         | 627                  | 52,000                      | 0                    | 52,627             |
| Nov-17              | 15RYMMN0029 | Morrison          | Spinal                   | 100,000               |                |              |         | 9,716                | 109,716                     | 16,174               | 125,890            |
| Nov-17              | 15RYMMN0046 | Singleton         | Casualty                 |                       |                |              | 1,350   | 1,350                | 21,329                      | 1,350                | 22,679             |
| Nov-17              | 15RYMMN0062 | Princess of Wales | Gynaecology              |                       |                |              | 800     | 800                  | 5,192                       | 800                  | 5,992              |
| Nov-17              | 15RYMMN0098 | Neath Port Talbot | Orthopaedics             |                       |                |              | 50      | 50                   | 200                         | 50                   | 250                |
| Nov-17              | 15RYMMN0105 | Princess of Wales | General Medicine         | 200,000               |                |              |         | 7,465                | 207,465                     | 25,960               | 233,425            |
| Nov-17              | 15RYMMN0143 | Princess of Wales | Orthopaedics             |                       |                |              | 600     | 600                  | 2,716                       | 600                  | 3,316              |
| Nov-17              | 15RYMMN0155 | Morrison          | Orthopaedics             |                       |                |              | 1,500   | 1,500                | 50                          | 1,500                | 1,550              |



Period from 1st October to 30th November 2017

| Location                     | Loss Category (as per FCP 17)                   | Description   | Loss £       |
|------------------------------|---|---|--------------|
| <b>Obsolete Drug Stock</b>   |   |   |              |
| Singleton                    | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.10.17 - 31.10.17   | 1,281        |
| Cefn Coed                    | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.10.17 - 31.10.17   | 400          |
| Morrison                     | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.10.17 - 31.10.17   | 2,656        |
| Neath Port Talbot            | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.10.17 - 31.10.17   | 2            |
| Singleton                    | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.11.17 - 30.11.17   | 1,936        |
| Cefn Coed                    | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.11.17 - 30.11.17   | 54           |
| Morrison                     | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.11.17 - 30.11.17   | 3,125        |
| Princess of Wales            | 4(b) - Damage or Loss of Equipment and Property | Obsolete Drug Stock 01.11.17 - 30.11.17   | 8            |
| <b>Sub Total</b>             |   |   | <b>9,463</b> |
| <b>Ex Gratia Payment</b>     |   |   |              |
| 13/10/2017                   | 7(a) - Loss of Personal Effects                 | Ex Gratia Payment - Re Lost Wedding Ring - MAU Morrison                               | 300          |
| 31/10/2017                   | 7(e) - Other                                    | Ex Gratia Payment - Re Damage to Teeth during operation at Princess of Wales Hospital | 3,456        |
| 31/10/2017                   | 7(e) - Other                                    | Ex Gratia payment re poor complaints handling   | 250          |
| 20/11/2017                   | 7(a) - Loss of Personal Effects                 | Ex-Gratia payment - Re lost spectacles at Anglesey Ward, Morrison Hospital            | 140          |
| <b>Sub Total</b>             |   |   | <b>4,146</b> |
| <b>Loss of Cash</b>          |   |   |              |
| 23/10/2017                   | 1(c) - Loss of Cash Other                       | Forged £10 Notes banked at Singleton Hospital   | 50           |
| 24/10/2017                   | 1(c) - Loss of Cash Other                       | Forged £20 Notes banked at Singleton Hospital   | 40           |
| <b>Sub Total</b>             |   |   | <b>90</b>    |
| <b>Bad Debt</b>              |   |   |              |
| Oct-17                       | 3(c) - Other                                    | Investigator fees Clinical Research Trial   | 12           |
| Oct-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees - Singleton  | 202          |
| Oct-17                       | 3(c) - Other                                    | Overpayment of Salary   | 1            |
| Oct-17                       | 3(c) - Other                                    | Non Return of Library Books   | 10           |
| Oct-17                       | 3(c) - Other                                    | Accommodation Charges   | 227          |
| Oct-17                       | 3(c) - Other                                    | Accommodation Charges   | 103          |
| Oct-17                       | 3(c) - Other                                    | Charges for overtaken annual leave  | 29           |
| Oct-17                       | 3(c) - Other                                    | Overpayment of Salary   | 126          |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees - Bridgend Clinic  | 1,978        |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees - Bridgend Clinic  | 48           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees - Bridgend Clinic  | 50           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 30           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 14           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 14           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 14           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 14           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 14           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 14           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 43           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees Dental NPT Resource Centre                                       | 28           |
| Nov-17                       | 3(c) - Other                                    | Clinical Trial Fees   | 32           |
| Nov-17                       | 3(c) - Other                                    | Clinical Trial Fees   | 32           |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees - Bridgend Clinic  | 166          |
| Nov-17                       | 3(a) - Bad Debt, Private Patients               | Private Patient Fees - Singleton  | 145          |
| <b>Sub Total</b>             |   |   | <b>3,342</b> |
| <b>Compensation Payments</b> |   |   |              |
| 11/10/2017                   | 5 - Compensation Payments                       | Travel Expenses Complaints Payment  | 43           |
| 31/10/2017                   | 5 - Compensation Payments                       | Item 5491 Complaints payment  | 500          |
| 20/11/2017                   | 5 - Compensation Payments                       | Item 8525 Complaints Payment  | 250          |
| <b>Sub Total</b>             |   |   | <b>793</b>   |
| <b>Redress Payments</b>      |   |   |              |
| Oct-17                       | Redress Payment                                 | Case 5937 - Damages   | 8,000        |
| Oct-17                       | Redress Payment                                 | Case 5937 - Legal Fees  | 1,920        |
| Oct-17                       | Redress Payment                                 | Case INC-22640 - Damages  | 18,000       |
| Oct-17                       | Redress Payment                                 | Case INC-22640 - Legal Fees   | 1,920        |
| Oct-17                       | Redress Payment                                 | Case 3121 - Damages   | 11,000       |
| Oct-17                       | Redress Payment                                 | Case 4205 - Damages   | 13,000       |
| Oct-17                       | Redress Payment                                 | Case 4205 - Legal Fees  | 1,920        |
| Oct-17                       | Redress Payment                                 | Case 4323 - Damages   | 4,000        |
| Oct-17                       | Redress Payment                                 | Case 4158 - Damages   | 3,500        |
| Oct-17                       | Redress Payment                                 | Case INC-13435 - Damages  | 4,000        |
| Oct-17                       | Redress Payment                                 | Case 1276 - Expert Advice   | 200          |
| Oct-17                       | Redress Payment                                 | Case 5155 - Copy Records  | 45           |
| Oct-17                       | Redress Payment                                 | Case 4165 - Legal Fees  | 1,600        |
| Nov-17                       | Redress Payment                                 | Case 1276 - Damages   | 46,000       |
| Nov-17                       | Redress Payment                                 | Case 3734 - Damages   | 800          |
| Nov-17                       | Redress Payment                                 | Case 3734 - Copy Records  | 50           |
| Nov-17                       | Redress Payment                                 | Case 3734 - Legal Fees  | 1,920        |
| Nov-17                       | Redress Payment                                 | Case 4200 - Damages   | 24,340       |
| Nov-17                       | Redress Payment                                 | Case 4200 - Legal Fees  | 1,920        |
| Nov-17                       | Redress Payment                                 | Case 4328 - Damages   | 1,200        |
| Nov-17                       | Redress Payment                                 | Case 4328 - Legal Fees  | 1,920        |

Period from 1st October to 30th November 2017

| Location | Loss Category (as per FCP 17) | Description                     | Loss £         |
|----------|-------------------------------|---------------------------------|----------------|
| Nov-17   | Redress Payment               | Case 7977 - Damages             | 3,000          |
| Nov-17   | Redress Payment               | Case 9045 - Damages             | 3,500          |
| Nov-17   | Redress Payment               | Case 9045 - Legal Fees          | 1,920          |
| Nov-17   | Redress Payment               | Case 1704 - Expert Advice       | 1,500          |
| Nov-17   | Redress Payment               | Case INC-32454- Expert Advice   | 3,940          |
| Nov-17   | Redress Payment               | Case 4176 - Expert Advice       | 3,000          |
| Nov-17   | Redress Payment               | Case 4209 - Expert Advice       | 2,400          |
| Nov-17   | Redress Payment               | Case INC - 48086 - Copy Records | 40             |
| Nov-17   | Redress Payment               | Case 5564 - Expert Advice       | 850            |
| Nov-17   | Redress Payment               | Case 7207 - Expert Advice       | 700            |
| Nov-17   | Redress Payment               | Case 7643 - Copy Records        | 80             |
| Nov-17   | Redress Payment               | Case 2066 - Legal Fees          | 1,920          |
| Nov-17   | Redress Payment               | Case 3121 - Legal Fees          | 2,436          |
|          |                               | <b>Sub Total</b>                | <b>172,541</b> |
|          |                               | <b>Total</b>                    | <b>190,374</b> |