



WALES AUDIT OFFICE
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru
Auditor General for Wales

Audit Committee Update – **Abertawe Bro Morgannwg University Health Board**

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We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

The team providing this Audit Committee update comprised Carol Moseley and Geraint Norman.

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Progress update

About this document

- 1 This document provides the Audit Committee with an update on current and planned Wales Audit Office work as set out in our Audit Plans. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

Audit plan

- 2 Details of the finalisation of our audit plan for 2017 is set out in [Exhibit 1](#).

Exhibit 1: audit plans

Area of work	Current status
Audit Plan 2017	Complete - presented to Audit Committee March 2017.
Audit Plan 2018	To be presented to Audit Committee March 2018.

Financial audit update

- 3 Our key financial audit reports for 2017 are set out in [Exhibit 2](#).

Exhibit 2: financial audit update

Area of work	Current status
Accounts Audit – Audit of Financial Statements Report 2016-17	Complete. Received by Audit Committee June 2017.
Charitable Funds – Audit of Financial Statements Report 2016-17	Complete. Received by Charitable Funds Committee October 2017.
Annual Audit Report 2017	Clearance during January 2018 and presentation to Audit Committee March 2018.

Performance audit update

- 4 The following tables set out the performance audit work included in our 2016 and 2017 audit plans for the Health Board, summarising completed work ([Exhibit 3](#)), work that is currently underway ([Exhibit 4](#)) and planned work which has not yet started ([Exhibit 5](#)).

Exhibit 3: work completed

Area of work	Status
NHS Structured Assessment ¹ (2016)	Presented to Audit Committee Jan. 2017.
Emergency ambulance service commissioning (2016)	Presented to Audit Committee July 2017.
Out-of-hours GP primary care (2016)	Presented to Audit Committee Sept. 2017.

Exhibit 4: work currently in progress

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Discharge planning (2016). Leads: R Farrelly; J Thomas	Review of the effectiveness of discharge planning arrangements.	Final clearance following Health Board comment.	March 2018.
Follow-up outpatient appointments – progress update (2016). Leads: R Farrelly; D Griffiths	Update on the progress made against previous recommendations.	Draft report; for clearance.	March 2018.
Structured Assessment ² (2017). Executive lead: CEO; Board Secreatry	Governance and financial management arrangements, and the progress being made on previously identified issues.	Draft report; for clearance.	March 2018.

¹ 2016 Structured Assessment supplementary outputs: A comparative analysis of Board Assurance Framework development and of financial reports to boards issued in October and November 2017, to support learning and development.

² Structured Assessment work in 2017 will consider arrangements to track progress against audit recommendations. The work will also inform a picture of how NHS bodies are responding to the Well-being of Future Generations (Wales) Act 2015 requirements; to be included in the AGW' year-one commentary on the implementation of the Act.

Exhibit 5: planned work not yet started

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Primary Care (2017) Executive lead: tbc	This study will involve a component of national and local audit work.	Pre-fieldwork data collection prior to pilot work and local audit roll-out in 2018.	TBC.
Cross-sector thematic (2017): Intermediate Care Fund Executive lead: tbc:	This review will involve all public sector bodies, examining ICF arrangements at national and regional levels.	Scoping in progress. Local fieldwork during 2018.	TBC.
Local audit work (2017) Executive lead: Board Secretary	To provide wider feedback on structured assessment findings and audit support for Board development work early in 2018.	The specific content is being agreed.	-

- 5 As part of our programme of local audit work at Public Health Wales NHS Trust (PHW), we have reported on collaborative arrangements for managing local public health resources. A consolidated NHS Wales management response has been developed, coordinated by PHW in collaboration with health boards and Welsh Government. The report and management response were considered at PHW's Audit Committee 19 October 2017 and we would expect Audit (or other appropriate) Committees at Health Boards to receive the report and management response, and to debate the action required as appropriate.

Good practice

- 6 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research. Our Good Practice Exchange (GPX) team also facilitates a programme of shared learning events, details of which can be found on the [GPX website](#).
- 7 Future events include [a discharge planning shared learning event](#) entitled 'I'm a patient, get me out of here' planned for March 2018.

NHS-related national studies

- 8 The Audit Committee may also be interested in the programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded by the National Assembly and are presented to the National Assembly's Public Accounts Committee to support its scrutiny of public expenditure.
- 9 **Exhibit 6** provides information on the NHS-related or relevant national studies published in the last 12 months. It also includes all-Wales summaries of work undertaken locally in the NHS. Since our last update to the Audit Committee we would like to highlight the following:
- The publication of a checklist to support NHS board members seek assurance on how local district nursing resources are managed and on the progress made since the Auditor General's 2014 review of district nursing services. We are aware that since our local follow-up audits, progress has been made in some areas, new legislation and guidance³ issued, and the Wales Community Care Information System introduced. However, it remains important that health boards have assurance that district nursing resources, which make up a substantial part of the community nursing workforce, are used to best effect within community services provision.
 - The Auditor General intends publishing his report on Informatics systems in NHS Wales in January 2018.

Exhibit 6: NHS-related national studies and all-Wales summary reports

Topic	Publication details
Informatics systems in NHS Wales	This report is due for publication 11 January 2018
District nursing services in Wales – checklist for Board Members	This checklist was published 7 December 2017
Public procurement in Wales	This report was published 17 October 2017
The Welsh Governments supporting people programme	This report was published 31 August 2017
Audit of Cardiff and Vale University Health Board's contractual relationships with RKC Associates Ltd and its owner	This report was published 17 July 2017
Implementation of the NHS Finances (Wales) Act 2014	This report was published 7 July 2017

³ Nurse Staffing Levels (Wales) Act 2016 and Interim District Nurse Guiding Staffing Principles

Topic	Publication details
<u>An overview of governance arrangements – Betsi Cadwaladr University Health Board</u>	This report was published 29 June 2017
<u>Outpatient follow-up appointments – briefing paper to the Planned Care Programme Board</u>	This paper was published 31 May 2017.
<u>Ensuring value for money in the use of single tender actions</u> – checklist for Board Members	This leaflet was published 19 April 2017.
<u>The Governance Challenges Posed by Indirectly Provided Publicly Funded Services in Wales</u>	This paper was published 16 February 2017.

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