

Audit Committee Update – Swansea Bay University Health Board

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Audit Committee Update

About this document

- 1 This document provides the Audit Committee with an update on current and planned Audit Wales work. Accounts and performance audit work are considered, and information is also provided on the Auditor General's wider programme of national value-for-money examinations and the work of our Good Practice Exchange (GPX).

Accounts audit update

- 2 **Exhibit 1** summarises the status of our key accounts audit work to be reported during 2020-21.

Exhibit 1 – Accounts audit work

Area of work	Current status
Audit of the 2019-20 Charitable Funds Accounts	Audit work is complete, and our Financial Statement report was presented to the Charitable Funds Committee on 14 October 2020 with the AGW certifying the accounts on 10 November 2020, ahead of the Charity Commissions deadline of 31 January 2021.
Audit of the 2020-21 Accountability Report and Financial Statements	Audit planning commenced in December.

Performance audit update

- 3 The following tables set out the performance audit work included in our current and previous Audit Plans, summarising:
 - completed work since the last Audit Committee update (**Exhibit 2**);
 - work that is currently underway (**Exhibit 3**); and
 - planned work not yet started or revised (**Exhibit 4**).

Exhibit 2 – Work completed

Area of work	Considered by Audit Committee
<u>Structured Assessment 2020</u>	September 2020
<u>Counter Fraud Arrangements</u>	July 2020

Exhibit 3 – Work currently underway

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
Orthopaedic services – follow up Executive Lead – Chief Operating Officer	This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges. Our findings will be summarised into a single national report with supplementary outputs setting out the local position for each health board.	Report being drafted March 2021
Review of WHSSC Executive Lead – Chief Executive Officer	This work will use aspects of our structured assessment methodology to examine the governance arrangements of WHSSC. Our findings will be summarised into a single national report.	Report being drafted March 2021

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
<p>Review of the commissioning and contracting arrangements post Bridgend boundary change</p> <p>Executive Lead – Director of Strategy/Interim Director of Finance</p>	<p>This work will examine the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work will also consider the programme for service disaggregation (for relevant services), and whether the arrangements support future regional service models currently being explored by the organisations.</p>	<p>Fieldwork underway</p> <p>TBC</p>
<p>Test, Trace and Protect</p> <p>Executive Lead – Director of Public Health</p>	<p>A high-level overview of the Test, Trace and Protect (TTP) programme has been included in our work programme. The work has examined the establishment and development of the TTP programme as it has responded to on-going challenges of COVID-19. An all-Wales report setting out our findings and the challenges going forward will be issued in the early part of 2021.</p> <p>The work we planned to do on unscheduled care has been paused to allow us to complete the work on TTP</p>	<p>Report in clearance</p> <p>March 2021</p>
<p>Quality Governance</p> <p>Executive Lead – Director of Nursing and Patient Experience</p>	<p>This work will allow us to undertake a more detailed examination of factors underpinning quality governance such as strategy, structures and processes, information flows, and reporting. This work follows our joint review of Cwm Taf Morgannwg UHB and as a result of findings of previous structured assessment work across</p>	<p>Set up meeting planned for January 2021</p> <p>July 2021</p>

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
	Wales which has pointed to various challenges with quality governance arrangements. The work will include an assessment of the arrangements for monitoring the impact of the various harms associated with the COVID-19 pandemic.	
Follow up review of radiology services	This work will focus on following up progress made by the Health Board against our previous recommendations relating to radiology services.	Scoping July 2021

Exhibit 4 – Planned work not yet started or revised

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
Review of Unscheduled Care Executive Lead – Chief Operating Officer	<p>This work has been carried forward from the 2020 audit plan and will initially look to provide a high-level whole system overview of the unscheduled care. The overview will be informed by the development of an interactive database covering all aspects of the unscheduled care pathway.</p> <p>Further work will then be undertaken on specific elements of unscheduled care pathway, with a likely focus on activities to signpost patients to the most appropriate care setting, and to manage patient flow through the system.</p>	<p>Whole system commentary and data analysis currently being completed</p> <p>TBC</p>

Good Practice events and products

- 4 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 5 **Exhibit 5** outlines the Good Practice Exchange (GPX) events which have been held since our last Committee Update. Materials are available via the links below. Details of future events are available on the [GPX website](#).

Exhibit 5 – Good practice events and products

Event	Details
Mental health and well-being during COVID-19 (December 2020)	<p>This webinar focused on how public services have adapted the services they provide during the pandemic as well as their successes and challenges, focusing on:</p> <ul style="list-style-type: none">• Community based services working together to provide mental health support;• Supporting staff health and well-being;• How technology can be harnessed to overcome loneliness and social isolation;• What it's like to lead organisations through a pandemic; and• Different approaches to providing mental health support.

- 6 In response to the Covid-19 pandemic, we have established a **Covid-19 Learning Project** to support public sector efforts by sharing learning through the pandemic. This is not an audit project; it is intended to help prompt some thinking, and hopefully support the exchange of practice. We have produced a number of outputs as part of the project which are relevant to the NHS, the details of which are available [here](#).

NHS-related national studies and related products

- 7 The Audit Committee may also be interested in the Auditor General's wider programme of national value for money studies, some of which focus on the NHS

and pan-public-sector topics. These studies are typically funded through the Welsh Consolidated Fund and are presented to the Public Accounts Committee to support its scrutiny of public expenditure.

- 8 **Exhibit 6** provides information on the NHS-related or relevant national studies published since our last Committee Update. It also includes all-Wales summaries of work undertaken locally in the NHS.

Exhibit 6 – NHS-related or relevant studies and all-Wales summary reports

Title	Publication Date
<u>Procurement and supply of PPE during the COVID-19 pandemic</u>	December 2020
<u>NHS Wales Finance Data Tool – Month 6</u>	November 2020



Audit Wales

24 Cathedral Road

Cardiff CF11 9LJ

Tel: 029 2032 0500

Fax: 029 2032 0600

Textphone: 029 2032 0660

E-mail: info@audit.wales

Website: www.audit.wales

We welcome correspondence and telephone calls in Welsh and English.
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