

**AUDIT TRACKER UPDATE**  
**AUDIT WALES**  
**AGREED ACTIONS COMPLETED SINCE**  
**LAST REPORT**

Executive Lead – Director of Corporate Governance				
1654A2019-20	Rec Ref	Findings & Recommendation	Original Response / Agreed Action	Update/Comment
<b>Structured Assessment 2019</b>  <b>Report Issued January 2020</b>  <b>Assurance Rating N/A</b>	R1	<p>The Health Board is developing estates and asset plans to underpin the Clinical Services Plan and will need to ensure that asset and estates requirements are clearly defined and reflected in the long-term capital plan.</p>	<p>The health board has commissioned the services of a Specialist consultant to support the organisation in the development of an estate plan.</p> <p>An outline estate plan has been developed to underpin the clinical services plan.</p> <p>The Health Board will need to undertake a condition appraisal of the estate and this work is being progressed. It is anticipated that the estates plan will be finalised by April 2020.</p>	<b>Structured Assessment 2022 – Completed</b> The Health Board outlined its capital requirements in the ten-year investment plan submitted to Welsh Government in July 2022.
<b>2714A2021-22</b>  <b>Review of Quality Governance Arrangements</b>  <b>Report Issued January 2022</b>  <b>Assurance Rating N/A</b>	R1b	<p>The approach taken by operational managers to risk management is inconsistent and risk registers are often incomplete and missing robust mitigating actions.</p> <p>The Health Board should refresh organisational awareness of the values and behaviours framework, so the values are at the forefront of everything staff do in the Health Board.</p>	<p>A programme of service group risk register presentations for 2022 has been agreed at the December Risk Management Group meeting. Service groups will be asked to report on processes in place to manage and scrutinise registers at a local level, and present their registers with a focus on their top risks. This will commence from March 2022 and the programme will complete by the end of the calendar year.</p>	<b>February 2023:</b> A programme of service group risk register presentations for 2022 was agreed. Two Service Groups presented registers and discussed local arrangements in December and a trial approach to register review undertaken – it proved useful but difficult to balance coverage and depth in the time. The remaining two service groups discussed their management arrangements in January without the detailed review, but at both December and January meetings, analyses of the field usage within registers was received and discussed identifying areas for improvement. While services will continue to present at future meetings, register analysis reports will continue to be received and developed as a means for monitoring improvements. Complete