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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



Meeting Date	15 May 2020	Agenda Item	2.1
Report Title	Annual Governance Statement		
Report Author	Liz Stauber, Head of Corporate Governance		
Report Sponsor	Pam Wenger, Director of Corporate Governance		
Presented by	Pam Wenger, Director of Corporate Governance		
Freedom of Information	Open		
Purpose of the Report	The purpose of the report is to set out the draft annual governance statement for 2019-20.		
Key Issues	<p>The annual governance statement is a section of the wider accountability report which is submitted to Welsh Government as part of the end-of-year reporting arrangements.</p> <p>It provides an outline of the health board's programme in relation to the board's governance arrangements and will be reviewed by the Audit Committee as well as internal and external audit.</p> <p>Any breaches in standing orders/standing financial instructions will be reported via the statement and the head of internal audit's annual opinion will also be included.</p>		
Specific Action Required <i>(please choose one only)</i>	Information	Discussion	Assurance
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input checked="" type="checkbox"/>
Recommendations	<p>Members are asked to:</p> <ul style="list-style-type: none"> • NOTE the report; • COMMENT on the draft annual governance statement. 		

ANNUAL GOVERNANCE STATEMENT

1. INTRODUCTION

The purpose of the report is to set out the draft annual governance statement for 2019-20.

2. BACKGROUND

The annual governance statement is a section of the wider accountability report which is submitted to Welsh Government as part of the end-of-year reporting arrangements.

The purpose of the accountability report is for the accountable officer to present how she has discharged her role during the year in compliance with the manual for accounts issued by Welsh Government.

The current version (appendix one) has been circulated to executive directors, as well internal and external audit, for comments and will also be discussed at the Audit Committee on 15th May 2020 before it is submitted as a draft to Welsh Government for comments. All feedback will then be collated to finalise the document which will be received at the Audit Committee and board on 25th June 2020 for approval.

3. GOVERNANCE AND RISK ISSUES

The manual for accounts sets out that all NHS organisations are required to publish, as single document, a three part annual report and accounts which includes:

- 1) the performance report;
- 2) the accountability report; and
- 3) the financial statements.

The purpose of section two, the accountability report, is to meet the key accountability requirements to Welsh Government and comprises:

- Corporate governance report;
- Financial accountability and remuneration report; and
- A National Assembly for Wales accountability and audit report.

In terms of the key areas of assurance these will be provided through:

- Updates on the improvement in governance in the last year;
- Strengthening risk management arrangements including significant work in the development of the refreshed health board risk register;
- Commitment and work in progress in relation to the development of a board assurance framework;
- The development of a governance work programme for 2018-19;

Areas of risk to be reported will include:

- Targeted intervention;
- Covid-19;
- Unscheduled care;
- Planned care;
- Transcatheter aortic valve implantation (TAVI);

- Health and safety.

a) Corporate Governance Report

This explains the composition and organisation of governance structures and how they support the achievement of the health board's objectives. The corporate governance team has compiled the main document; the annual governance statement. This section is informed by a review of the board and its sub-committees' business over the last year.

b) Financial Accountability and Remuneration Report

This contains information about the remuneration of senior management, fair pay ratios, sickness absence rates, etc. and has been compiled by the finance department as well as the annual accounts.

c) National Assembly for Wales Accountability and Audit Report

This contains a range of disclosures on the regularity of expenditure, fees and charges, compliance with the cost allocation and charging requirements set out in Her Majesty's Treasury guidance, material remote contingent liabilities, long-term expenditure trends, and the audit certificate and report.

In Summary

The Chief Executive as accountable officer will be fully involved in the development of the accountability report and subject to review scrutiny and approval by the Audit Committee and the board, will sign the statement of the chief executive's responsibilities.

4. FINANCIAL IMPLICATIONS

There are no financial implications of which members needs to be aware.

5. RECOMMENDATION

Members are asked to:

- **NOTE** the report;
- **COMMENT** on the draft annual governance statement.

Governance and Assurance		
Link to Enabling Objectives (please choose)	Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities	
	Partnerships for Improving Health and Wellbeing	<input checked="" type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	Deliver better care through excellent health and care services achieving the outcomes that matter most to people	
	Best Value Outcomes and High Quality Care	<input type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input checked="" type="checkbox"/>
Health and Care Standards		
(please choose)	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input type="checkbox"/>
	Effective Care	<input type="checkbox"/>
	Dignified Care	<input type="checkbox"/>
	Timely Care	<input type="checkbox"/>
	Individual Care	<input type="checkbox"/>
	Staff and Resources	<input checked="" type="checkbox"/>
Quality, Safety and Patient Experience		
Good governance will ensure that quality, safety and patient experience is being delivered as well as identifying areas for improvement.		
Financial Implications		
There are no financial implications.		
Legal Implications (including equality and diversity assessment)		
There are no legal implications.		
Staffing Implications		
There are no staffing implications.		
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)		
Good governance will ensure the long-term working of the health board to achieve to what is expected.		
Report History	Annual report.	
Appendices	Appendix one – Draft Annual Governance Statement	