



WALES AUDIT OFFICE
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru
Auditor General for Wales

Audit Committee Update – **Abertawe Bro Morgannwg University Health Board**

Date issued: 11 January 2019

Document reference: 160A2017



This document has been prepared as part of work performed in accordance with statutory functions.

In the event of receiving a request for information to which this document may be relevant, attention is drawn to the Code of Practice issued under section 45 of the Freedom of Information Act 2000.

The section 45 code sets out the practice in the handling of requests that is expected of public authorities, including consultation with relevant third parties. In relation to this document, the Auditor General for Wales and the Wales Audit Office are relevant third parties. Any enquiries regarding disclosure or re-use of this document should be sent to the Wales Audit Office at

info.officer@audit.wales.

We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

The team providing this Audit Committee update comprised Carol Moseley and Jason Blewitt.

Contents

Progress update

About this document	4
Audit plan	4
Financial audit update	4
Performance audit update	5
Good practice exchange	6
NHS-related national studies	6

About this document

- 1 This document provides the Audit Committee with an update on current and planned Wales Audit Office work as set out in our Audit Plans. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

Audit plan

- 2 **Exhibit 1** summarises details of the finalisation of our 2017 and 2018 audit plans. The 2019 Audit Plan will be presented to Audit Committee in March 2019.

Exhibit 1: audit plans

Area of work	Current status
Audit Plan 2017	Complete - presented to Audit Committee March 2017.
Audit Plan 2018	Complete - presented to Audit Committee March 2018.
Audit Plan 2019	To be received by Audit Committee March 2019.

Financial audit update

- 3 Our key financial audit reports are set out in **Exhibit 2**.

Exhibit 2: financial audit reports

Area of work	Current status
Annual Audit Report 2017	Presented to Audit Committee and Board March 2018.
Accounts Audit – Audit of Financial Statements Report 2017-18	Presented to Audit Committee and Board June 2018.
Charitable Funds – Audit of Financial Statements Report 2017-18	Presented to Charitable Funds Committee November 2018.
Annual Audit Report 2018	To be presented to Audit Committee January 2019 and subsequently received by Board.

Performance audit update

- 4 The following tables set out the performance audit work included in our 2017 and 2018 plans for the Health Board, summarising completed work ([Exhibit 3](#)), work that is currently underway ([Exhibit 4](#)) and planned work not yet started ([Exhibit 5](#)).

Exhibit 3: work completed

Area of work	Status
Structured Assessment (2017) ¹	Presented to Audit Committee March 2018.
Primary Care (2017)	Presented to Audit Committee January 2019
Structured Assessment (2018)	Presented to Audit Committee January 2019

Exhibit 4: work currently in progress

Topic and executive lead	Focus of the work	Current status	Audit Committee consideration
Cross-sector thematic (2017): Intermediate Care Fund. Lead: Sian Harrop-Griffiths	Examines ICF arrangements at national and regional levels across public sector bodies.	Local findings presented to the RPB 30 October 2018. National report anticipated early 2019.	TBC – March 2019
Clinical Coding: Follow up (2018)	Examining the progress made since 2014.	Fieldwork commenced.	TBC – March 2019

Exhibit 5: planned work not yet started

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Local audit (2018). Lead: Chris White	Follow-up review of 'waiting times'	Fieldwork in 2019.	TBC – May 2019
Orthopaedic Services: Follow up (2018) . Lead: Chris White	To examine the progress made since our 2015 audit.	Scoping. Fieldwork in 2019.	TBC – November 2019

¹ Local audit time (2017) used for additional audit input in relation to Structured Assessment 2017. We also offered to support Board development in 2018 with a session focusing on assurance, scrutiny and challenge (session input /timing dependent on the Kings Fund Board development programme and 2018 board member appointments). The December 2018 session was postponed by the Health Board.

Good practice

- 5 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research. Our Good Practice Exchange (GPX) team also facilitates a programme of shared learning events, details of which can be found on the [GPX website](#). Future events include:
- [Supporting people in their communities: Reducing unnecessary hospital admissions](#): being held 5 February 2019; and
 - [Young people influencing decisions about what matters to them](#): being held 12 March 2019.

NHS-related national studies

- 6 The Audit Committee may also be interested in the programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded by the National Assembly and are presented to the National Assembly's Public Accounts Committee to support its scrutiny of public expenditure.
- 7 [Exhibit 6](#) provides information on the NHS-related or relevant national studies published in the last 12 months. It also includes all-Wales summaries of work undertaken locally in the NHS. Since our last update to the Audit Committee, the Auditor General has published one report: Radiology Services in Wales.

Exhibit 6: NHS-related national studies and all-Wales summary reports

Title	Publication date
Radiology Services in Wales A video summary of key findings is also available.	08 November 2018.
Management of follow up outpatient appointments across Wales	31 October 2018
National Fraud Initiative in Wales	23 October 2018
Primary Care Out-of-Hours Services	12 July 2018
Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities	24 May 2018
Reflecting on Year One – how have public bodies responded to the Well-being of Future Generations (Wales) Act 2015	10 May 2018
Speak my Language: overcoming language and communication barriers in public services.	26 April 2018
A Picture of Primary Care in Wales	24 April 2018.

Wales Audit Office
24 Cathedral Road
Cardiff CF11 9LJ

Tel: 029 2032 0500
Fax: 029 2032 0600
Textphone.: 029 2032 0660

E-mail: info@audit.wales
Website: www.audit.wales

Swyddfa Archwilio Cymru
24 Heol y Gadeirlan
Caerdydd CF11 9LJ

Ffôn: 029 2032 0500
Ffacs: 029 2032 0600
Ffôn testun: 029 2032 0660

E-bost: post@archwilio.cymru
Gwefan: www.archwilio.cymru