



WALES AUDIT OFFICE
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru
Auditor General for Wales

Audit Committee Update – **Abertawe Bro Morgannwg University Health Board**

Date issued: March 2019

Document reference: 160A2019



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The team providing this Audit Committee update comprised Carol Moseley and Jason Blewitt.

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About this document

- 1 This document provides the Audit Committee with an update on current and planned Wales Audit Office work as set out in our Audit Plans. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

Audit plan

- 2 **Exhibit 1** summarises details of the finalisation of our 2018 and 2019 audit plans. The 2019 Audit Plan will be presented to Audit Committee in March 2019.

Exhibit 1: audit plans

Area of work	Current status
Audit Plan 2018	Complete - presented to Audit Committee March 2018.
Audit Plan 2019	To be received by Audit Committee March 2019.

Financial audit update

- 3 Our key financial audit reports are set out in **Exhibit 2**.

Exhibit 2: financial audit reports

Area of work	Current status
Annual Audit Report 2018	Presented to Audit Committee and Board January 2019.
Accounts Audit – Audit of Financial Statements Report 2018-19	To be presented to Audit Committee and Board June 2019.
Charitable Funds – Audit of Financial Statements Report 2017-18	To be presented to Charitable Funds Committee November 2019.

Performance audit update

- 4 The following tables set out the performance audit work included in our 2017 and 2018 plans for the Health Board, summarising completed work (**Exhibit 3**), work that is currently underway (**Exhibit 4**) and planned work not yet started (**Exhibit 5**). The 2019 programme of performance audit work will be included in future update reports, following presentation of the 2019 Audit Plan at the March meeting of the Audit Committee.

Exhibit 3: work completed

Area of work	Status
Structured Assessment (2017)	Presented to Audit Committee March 2018.
Primary Care (2017)	Presented to Audit Committee January 2019.
Structured Assessment (2018)	Presented to Audit Committee January 2019.

Exhibit 4: work currently in progress

Topic and executive lead	Focus of the work	Current status	Audit Committee consideration
Cross-sector thematic (2017): Intermediate Care Fund. Lead: Sian Harrop-Griffiths	Examines ICF arrangements at national and regional levels across public sector bodies.	Local findings presented to the RPB 30 October 2018. National report anticipated early 2019.	TBC – May 2019.
Clinical Coding: Follow up (2018).	Examining the progress made since 2014.	Fieldwork concluded. Commencing report drafting.	TBC – May 2019.

Exhibit 5: planned work not yet started

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Local audit (2018). Lead: Richard Evans	Follow-up review: consultant contract ¹	Scoping. Fieldwork in 2019.	TBC – July 2019.
Orthopaedic Services: Follow up (2018). Lead: Chris White	To examine the progress made since our 2015 audit.	Terms of reference issued 28 February 2019.	TBC – November 2019.

Good practice

- 5 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research. Our [Good Practice Exchange \(GPX\)](#) team also facilitates a programme of shared learning events. Future events include a [lets talk cyber security webinar](#), 26 March 2019.

¹ The follow-up of consultant contract substitutes a local waiting times follow-up audit.

NHS-related national studies

- 6 The Audit Committee may also be interested in the programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded by the National Assembly and are presented to the National Assembly's Public Accounts Committee to support its scrutiny of public expenditure.
- 7 **Exhibit 6** provides information on the NHS-related or relevant national studies published in the last 12 months. It also includes all-Wales summaries of work undertaken locally in the NHS.

Exhibit 6: NHS-related national studies and all-Wales summary reports

Title	Publication date
<u>Expenditure on agency staff by NHS Wales</u>	22 January 2018.
<u>Radiology Services in Wales</u>	08 November 2018.
<u>Management of follow up outpatient appointments across Wales</u>	31 October 2018.
<u>National Fraud Initiative in Wales</u>	23 October 2018.
<u>Primary Care Out-of-Hours Services</u>	12 July 2018.
<u>Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities</u>	24 May 2018.
<u>Reflecting on Year One – how have public bodies responded to the Well-being of Future Generations (Wales) Act 2015</u>	10 May 2018.
<u>Speak my Language: overcoming language and communication barriers in public services.</u>	26 April 2018.
<u>A Picture of Primary Care in Wales</u>	24 April 2018.

The Auditor General has also commenced a programme of work looking at the arrangements that the devolved public sector in Wales, including all NHS bodies, is putting in place to prepare for, and respond to, Britain's exit from the European Union. In autumn 2018, he issued a call for evidence to compile a baseline of arrangements being put in place. On 19 February, he issued a report² on preparations in Wales for a 'no deal' Brexit. This will be followed up by further audit fieldwork during the rest of 2019.

² [Preparations in Wales for a 'no deal' Brexit](#)

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