



GIG
CYMRU
NHS
WALES

Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



MEETING OF THE AUDIT COMMITTEE
Thursday, 12th March at 9.30am
Millennium Room, 2nd Floor, SBU HQ, Baglan

Time	Agenda	Purpose	Lead	Attached Verbal
9.30	1. Preliminary Matters			
	1.1 Apologies for absence 1.2 Declarations of Interest 1.3 Minutes of the Meeting on 21 st November 2019 and briefing note from meeting postponed on the 16 th January 2020. 1.4 Matters Arising 1.5 Action Log 1.6 Audit Committee Work Programme 2019/20	Noting Noting Approval Noting Noting Noting	Chair Chair Chair Chair Chair Chair Chair	Verbal Verbal Attached Attached Attached Attached Attached
9.45	2. Governance, Risk and Internal Controls			
9.45	2.1 Status of Overdue Recommendations: Chief Operating Officer	Assurance	Craig Wilson	Attached
	2.2 WAO Audit Report into Public Services Boards and Integrated Care Fund	Assurance	Joanne Abbott-Davies	Attached
10.15	2.3 Water Safety Management Update	Assurance	Des Keighan	Attached
10.30	2.4 To receive an update on the Capital Systems: Financial Safeguarding Audit i. Estates Update ii. Perinatal Mental Health	Assurance Assurance	Des Keighan Simon Davies/Janet Williams	Attached Attached
10.45	2.5 Health Board Risk Register i. Progress Report and Update on risk management work ii. Digital Risks	Assurance Assurance	Hazel Lloyd Matt John	Attached Attached
	2.6 Major Trauma Network Governance Framework	Approval	Pam Wenger	Attached
	2.7 Review Audit Registers and Status of Recommendations	Discussion	Pam Wenger	Attached
	2.8 Governance Work-Programme	Discussion	Pam Wenger	Attached
	2.9 Standards of Business Conduct	Discussion	Pam Wenger	Attached

	2.10 Audit Committee Self-Assessment and Terms of Reference	Discussion	Pam Wenger/Chair	Attached
11.15	3. Internal Audit			
	3.1 Progress and Audit Assignment Summary 3.2 Review annual plan (to include Charter)	Assurance Approval	Neil Thomas Neil Thomas	Attached Attached
11.30	4. External Audit			
	4.1 Progress and Audit Assignment Summary 4.2 Audit Plan 2020 4.3 Audit Enquiries to those charged with governance and management	Discussion Approval Noting	Carol Moseley Carol Moseley Pam Wenger	Attached Attached Attached
11.45	5. Financial Focus			
	5.1 Finance Update 5.2 Annual Accounts Update 5.3 Losses and Special Payments 5.4 NWSSP Procurement: single tender actions and quotations	Assurance Noting Assurance Assurance	Darren Griffiths Darren Griffiths Darren Griffiths Darren Griffiths	Verbal Attached Attached Attached
12.00	6. Counter Fraud			
	6.1 Counter Fraud Policy and Response Plan	Approval	Len Cozens	Attached
12.05	7. Assurance Reports for Information or Approval			
	7.1 To receive an update on General Dental Services- Multiple FP17 report	Noting	Hilary Dover	Attached
	Items to Refer to Other Committees			
	Any Other Business			
	Date and Time of Next Meeting			
	The next scheduled meeting is 14 th May 2020 at 2020.			

