



## Audit Committee Action Log

Open Actions						
Action No.	Minute Ref.	Date	Agreed Action	Lead	Timescale	Status
1.	45/19	21.03.2019	Pam Wenger to include the reviews of various external regulators, inspectors and professional bodies within the board assurance framework.	Pamela Wenger	July 2019	<b>Work in Progress</b> To be included in the Board Assurance Framework. Work is in development in terms of a compliance and legislative framework and reflected in the work programme for 19/20.
2.	46/19	21.03.2019	<b>Long Waiters</b> Receive a verbal update on the progress of the actions arising from the Long Waiters report at the next committee.	Darren Griffiths	May 2019	<b>Work in Progress</b> Item to be considered at the Quality and Safety Committee and then brought to Audit Committee in November.
3.	93/19	15.07.2019	<b>Risk Register</b> Martin Sollis to speak with Tracy Myhill with regards to the Workforce and OD	Martin Sollis	July 2019	In progress

			Capacity risk.			
4.	99/19	15.07.2019	<b>Audit Registers</b> Pam Wenger to raise the issue at the executive team meeting and undergo a deep dive of areas with high numbers of outstanding recommendations.	Pam Wenger	July 2019	<b>Work In progress.</b>  Deep Dive to be arranged for an appropriate Executive Board meeting.
5.	101/19	15.07.2019	<b>Health Board Risk Register</b> Tom Crick, Pam Wenger and Matt John to discuss IT system outages and the dependency on national systems.	Tom Crick/Pam Wenger	July 2019	Meeting to be arranged.
6.	105/19	15.07.2019	<b>Internal Audit</b> Update report on the progress on Safe Water Management action plan be received at the September Committee.	Gareth Howells	November 2019	<b>In progress</b> Item to be discussed at the Health and Safety Committee and brought back to the Audit Committee in November.
<b>Closed Actions</b>						
<b>Action No.</b>	<b>Minute Ref.</b>	<b>Date</b>	<b>Agreed Action</b>	<b>Lead</b>	<b>Timescale</b>	<b>Status</b>
7.	92/19	15.07.19	<b>Guardian Service</b> Hazel Robinson to provide an update on the guardian service at the end of the year.	Hazel Robinson	November 2019	<b>Completed</b> On the work programme for November 2019.

<b>8.</b>	<b>92/19</b>	15.07.2019	<b>Guardian Service</b> Governance process to be worked through with support from Pam Wenger outside of the meeting.	Hazel Robinson Pam Wenger/Julie Lloyd	August 2019	<b>Completed</b> It was agreed that an annual report will be received at Board and Audit Committee at the end of the each financial year.
<b>9.</b>	<b>97/19</b>	15.07.2019	<b>PPV</b> Work programme to be changed to reflect an annual report be received.	Claire Mulcahy	July 2019	<b>Completed</b> Work Programme updated.
<b>10.</b>	<b>102/19</b>	15.07.2019	<b>BAF</b> Board Assurance Framework to be brought back to committee in September.	Pam Wenger	September 2019	<b>Completed</b> Item on the work programme for November.
<b>11.</b>	<b>105/19</b>	15.07.2019	<b>Internal Audit</b> Safe water management be referred into the health and safety committee and added to the health and safety framework.	Pamela Wenger	July 2019	<b>Completed</b> Added to the H&S work programme.
<b>12.</b>	<b>100/19</b>	15.07.2019	<b>Single Tender Actions and Quotations.</b> Keir Warner to the make enquiries about the specifics of the MSc SQA and get back to Tom Crick.	Keir Warner	July 2019	<b>Completed.</b>
<b>13.</b>	<b>107/19</b>	15.07.2019	<b>Counter Fraud</b>	Pam Wenger/Len	July 2019	<b>Completed.</b>

			Pam Wenger and Len Cozens to discuss the awareness raising process for the fighting fraud strategy.	Cozens		
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