



**GIG**  
CYMRU  
**NHS**  
WALES

Bwrdd Iechyd Prifysgol  
Bae Abertawe  
Swansea Bay University  
Health Board



		Agenda Item	2.4 (v)
Freedom of Information Status		Open	
Reporting Committee	Audit Committee		
Author	Georgia Pennells, Corporate Governance Officer		
Chaired by	Nuria Zolle, Independent Member		
Lead Executive Director (s)	Hazel Lloyd, Director of Corporate Governance		
Date of last meeting	09 March 2023		
Matters to Draw to the Attention of the Board (particularly areas relating to risk or quality)			
<ul style="list-style-type: none"><li>Outline Audit Plan <i>Key Matters Raised by Members for Board Attention:</i> It was recognised that due to the issues highlighted in the accounts audit update, Audit Wales were unable to bring to the committee a full audit plan with a fee. An outline audit plan had been developed to give a sense of the areas which would be included in the full audit plan.</li></ul>			
<ul style="list-style-type: none"><li>Annual Accounts Update <i>Key Matters Raised by Members for Board Attention:</i> A letter was received from Audit Wales on 1st March 2023, proposing a <i>delayed</i> audit certification deadline of 31st July 2023 for the NHS bodies in Wales. The reason for the delay was due to resourcing issues and the newly implemented auditing standards.</li></ul>			
<ul style="list-style-type: none"><li>Corporate Governance Code <i>Key Matters Raised by Members for Board Attention:</i> Committee members supported the assessment of compliance against the corporate governance code and agreed to the assessment being reflected in the accountability report.</li></ul>			
Other Areas of Discussion			
<ul style="list-style-type: none"><li>Audit Register and Status of Recommendations The audit register and status of recommendations were received.</li></ul>			
<ul style="list-style-type: none"><li>Outstanding Management Responses The outstanding management responses were received.</li></ul>			
<ul style="list-style-type: none"><li>Structured assessment Audit Wales’ structured assessment was received, with the ask that the health board’s response was further tightened.</li></ul>			
<ul style="list-style-type: none"><li>Finance Update A verbal financial update was received.</li></ul>			
<ul style="list-style-type: none"><li>NWSSP Single Tender Actions and Quotations</li></ul>			

During the period 01/01/2023 to the 23/02/2022 there was 1 SQAs approved, and 21 STAs, with a total value of £3,165,475.29 (excl. VAT). 9 Retrospective action file notes were sent to the Head of Procurement for approval, with a total value of £335,635.06

- **Board Effectiveness Assurance Programme**

The board effectiveness assurance programme was noted.

- **Audit Wales Performance and Progress Report**

The standard Audit Wales Performance Progress reports were received.

- **Internal Audit Progress Reports**

Of the 29 reviews in total, 9 were delivered, four were in draft, twelve were in progress and five remained at planning stage.

- **Board Assurance Framework**

Members acknowledged there was further work to be done in aligning the board assurance framework with the health board's broader strategy.

- **Internal Audit Annual Plan**

Members approved the internal audit annual plan.

- **Counter Fraud Report**

The counter fraud report was noted.

### **Decisions Made for Approval by the Board**

No decisions were made requiring board approval.

### **Updates Received from Sub-Groups**

There were no updates received from Sub-Groups.

### **Matters Referred to Other Committees**

The matter of pre-employment checks would be referred to the Workforce and OD Committee.

**Date of next meeting**

18 May 2023