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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



		Agenda Item	2.4 (v)
Freedom of Information Status		Open	
Reporting Committee	Audit Committee		
Author	Georgia Pennells, Corporate Governance Officer		
Chaired by	Nuria Zolle, Independent Member		
Lead Executive Director (s)	Hazel Lloyd, Acting Director of Corporate Governance		
Date of meeting	14 July 2022		
Summary of key matters considered by the committee and any related decisions made.			
<ul style="list-style-type: none">Neonatal Transport ODN, and endorse the Memorandum of Understanding <p>A report outlining the development of the neonatal transport ODN and endorsement the memorandum of understanding was received. The current neonatal transport service moved to 24/7 delivery in January 2021. However, there have been on-going concerns around the governance of the service and Joint Committee supported the establishment of an operational delivery network (ODN) to address the governance concerns and to ensure the on-going management and development of the service. Committee members endorsed the MOU for submission to the Board for approval.</p> <ul style="list-style-type: none">Finance Update <p>A verbal update on the month three financial position was received. The health board had overspent above plan by just over £500,000. With the key pressures including workforce costs, variable pay and continuing healthcare (CHC). The CHC element is £2.4m overspent to date, therefore whilst the health board is out by £1m, there is £2.4m with CHC savings approximately £900,000. Further work is required on the workforce element as substantive workforce is £17m underspent however, £17m on variable pay has been spent to fill the gaps in workforce. There are plans in place to tackle variable pay and ambitious plans to recruit 350 nurses and a strategic review of CHC is ongoing.</p> <ul style="list-style-type: none">Health Board Risk Register <p>The Health Board Risk Register (HBRR) for May 2022 was received and endorsed by the Management Board in June 2022. The May HBRR contains 40 risks, of which 20 have risk scores at, or above, the Health Board’s current appetite of 20. Three of these have risk scores of 25.</p>			
Key risks and issues/matters of concern of which the board need to be made aware:			
<ul style="list-style-type: none">NWSSP Procurement Single Tender Actions and Quotations <p>During the period 01/04/2022 to the 16/06/2022 there were 7 SQAs approved, with a total value of £97,803.97 (excl. VAT) and 15 STAs, with a total value of £1,261,941.25 (excl. VAT). 8 Retrospective action file notes were sent to the Head of Procurement for approval.</p>			

Key Matters raised by members;

- *Significant breach in relation to the sourcing of locum medical staff;*
- *Lessons learnt and improving processes and awareness training of procurement processes amongst health board staff should be referred to the Workforce and OD Committee.*

- **Deputy Head of Internal Audit Opinion and Annual Report**

The Health Board can take reasonable assurance that arrangements to secure governance, risk management and internal control, within those areas under review, are suitably designed and applied effectively. Two scored substantial assurance, eighteen scored reasonable assurance, four scored limited assurance, and three audits were advisory.

Delegated action by the committee:

Committee members **agreed** the closure of the structured assessment 2021 action plan.
Committee members **agreed** the process for the acceptance of gifts to the health board charity.

Main sources of information received:

The following reports were received with no significant issues raised:

- **Board Assurance Framework**
- **Audit Registers and Status of Recommendations**
- **Audit Wales strategy 2022-27**
- **Audit Wales performance and progress reports**
- **Internal Audit Progress Reports**
- **Annual Reports – Lymphoedema and NHS Wales Delivery Unit**

Highlights from sub-groups reporting into this committee:

Information governance group highlight report was received **for assurance**.

Matters referred to other committee

Procurement processes from the internal audit to be referred to **Workforce and OD Committee** to consider lessons learnt, awareness and improving processes of procurement training amongst health board staff.

Date of next meeting

15th September 2022