

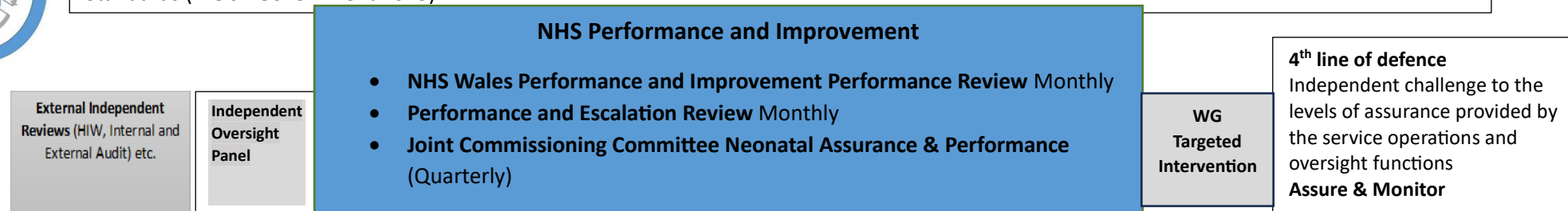
RISK & ESCALATION FRAMEWORK

MARCH 2026 V6

PERINATAL SERVICES

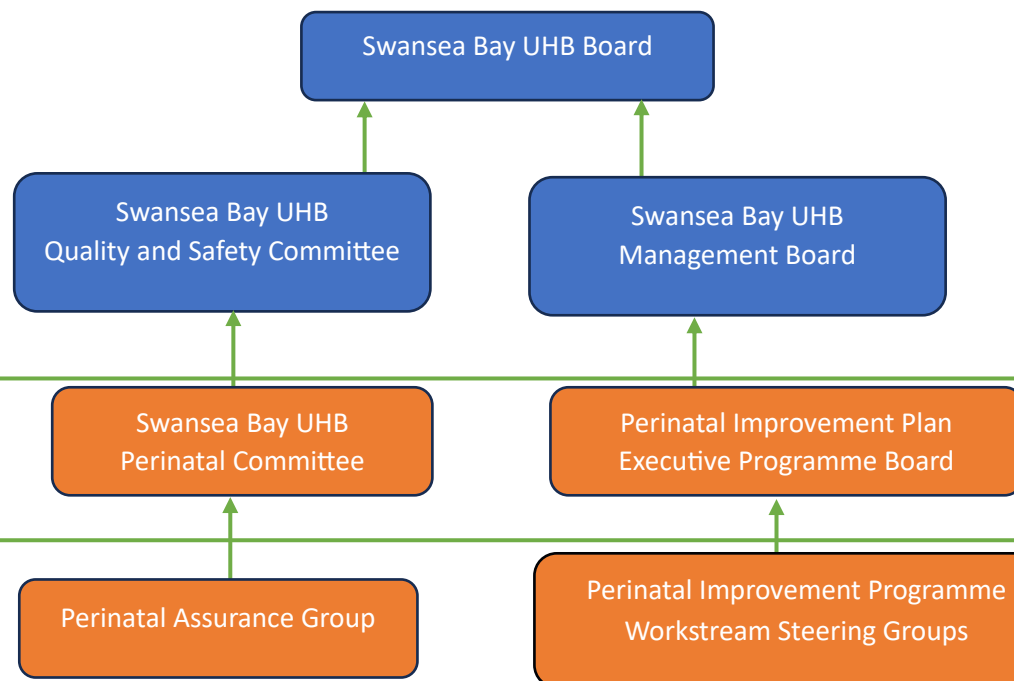


The following structure outlines the “Floor to Board” Escalation, with two-way communication which also flows from Board to Floor. The Framework aligns to a ‘Four’ Lines of Defence Model and has been updated to reflect The Duty of Quality Statutory Guidance and Quality Standards (Welsh Government 2023).



External Stakeholders

1. Externality of Clinical Case Reviews
2. National Guideline Development
3. Joint Commissioning Committee
4. Welsh Government
5. NHS Performance and Improvement
6. Maternity Neonatal Safety Support Programme
7. Maternity & Neonatal Network
8. Improvement Cymru
9. Digital Healthcare Wales
10. WHSCC
11. HEIW
12. WRP
13. Unions



3rd line of defence
 Further oversight functions who can also set direction
 Monitor Performance Activity
Assurance & Scrutiny

2nd line of defence
 Service Group oversight functions who set direction, monitor performance and activity, development of QMS cycle and activities
Assurance & Scrutiny

1st line of defence
 Service (‘Floor’) Level Operational Activity Quality & Performance monitored on a day-to-day basis
Business as Usual Activity