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WALES

Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



		Agenda Item	2.4 (v)
Freedom of Information Status		Open	
Reporting Committee	Audit Committee		
Author	Georgia Pennells, Corporate Governance Officer		
Chaired by	Nuria Zolle, Independent Member		
Lead Executive Director (s)	Hazel Lloyd, Director of Corporate Governance		
Date of last meeting	19 January 2023		
Matters to Draw to the Attention of the Board (particularly areas relating to risk or quality)			
<ul style="list-style-type: none"> Board Effectiveness <i>Key Matters Raised by Members for Board Attention:</i> Members were pleased to see the progressing activity however, highlighted the delay to the area of the implementation of the Duty of Candour and queried how this would affect the quality of the submission to Welsh Government late January. Board members were assured that engagement with the service groups had started, and Swansea Bay University Health Board were in line with other Welsh Health Boards in terms of the progression of reporting. Internal Audit Progress Report <i>Key Matters Raised by Members for Board Attention:</i> Members received the internal audit progress reports which saw a limited assurance following the information governance internal audit. Members were assured they would receive a detailed management response in due course. 			
Other Areas of Discussion			
<ul style="list-style-type: none"> Audit Register and Status of Recommendations A brief summary of the revised deadlines was included in the reiteration of the reporting, with members agreeing this was a useful inclusion to the report. Members highlighted the importance of ensuring the progression of the overdue audit actions was sustained moving forward. Bribery Policy The bribery policy was received and <i>approved</i> by members. Standing Financial Instructions The revised changes to the standing financial instructions were <i>approved</i> by members. Estates Internal Audit An update on the open actions following the estates internal audit was received. Members gave thanks to the team for the positive work to date. Finance Update A verbal financial update was received. Annual Accounts and Timetable and Plan 			

Members received an update on the annual accounts timetable and plan. It was advised that interim audit work had not yet commenced due to resourcing issues at Audit Wales and the Health Board had not been able to confirm with Audit Wales a timescale for completion.

- **Losses and Special Payments**

The losses and special payments recorded during the period 1st August 2022 to 30th November 2022 totaled £2,497,061 of which £2,017,444 was recoverable from the Welsh Risk Pool, meaning that the actual loss to the Health Board in the period totaled £479,617. Of the losses and special payments made in the period a total of £303,862 related to cases pre 31st March 2019 for locations which transferred to Cwm Taf Morgannwg Health Board on 1st April 2019 of which the actual loss after recoveries that were taken into account was £35,817.

- **NWSSP Single Tender Actions and Quotations**

During the period 27/10/2022 to the 31/12/2022, there were 6 SQAs approved, with a total value of £71,085.12 (excl. VAT) and 9 STAs, with a total value of £1,102,038.43 (excl. VAT). 7 Retrospective action file notes were sent to the Head of Procurement for approval, with a total value of £335,635.06.

- **NHS Procurement spending during the covid-19 pandemic**

Members noted the area of work should be explored further with executive colleagues, in terms of the recommendations as to what the organisation does next and what the role was of the Audit Committee in terms of oversight with the importance to reflect on improvement moving forward.

- **Audit Wales Performance and Progress Report**

The standard Audit Wales Performance Progress reports were received.

- **Commissioning and Contracting Arrangement Post Boundary Change**

Members were advised by Audit Wales that as a whole the arrangements to establish and monitor the LTAs and SLAs were working well but escalation of project risks to corporate risk registers needs improvement and more could be done to consider impact. Members were keen to receive an initial management response in terms of how the work would be taken forward.

Decisions Made for Approval by the Board

No decisions were made requiring board approval.

Updates Received from Sub-Groups

There were no updates received from Sub-Groups.

Matters Referred to Other Committees

There were no matters referred to other committees.

Date of next meeting	09 March 2023
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