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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



		Agenda Item	2.2 (iv)
Freedom of Information Status		Open	
Reporting Committee	Audit Committee		
Author	Georgia Pennells, Corporate Governance Officer		
Chaired by	Nuria Zolle, Independent Member		
Lead Executive Director (s)	Hazel Lloyd, Acting Director of Corporate Governance		
Date of meeting	15 September 2022		

Summary of key matters considered by the committee and any related decisions made.

- **Finance Update**

A verbal update on the month five financial position was received. The end of month five position was a further over spend of £0.661k. From an income perspective the health board is over achieving income by £1.5m, pay is £0.900k over and non-pay is £3.1m overspent however, this includes the savings shortfall of £2.2m. Corporate and service group directors have been issued with a financial out turn target a controlled total, with each service group apart from the Morrision service group expected to break even. Every other delivery area with the exception of Morrision have been asked to find another £2m of non-recurrent savings. A financial improvement leader is due to commence in Morrision Hospital to assist with the position. The focus would be to reduce the run rate of expenditure in Morrision in a constructive way.

- **Health Board's standing orders and standing financial instruction**

The health board's standing orders and standing financial instructions were **approved**.

- **Spinal Services and the Operational Delivery Network**

Committee members **noted** the report and **agreed** to the outlined hosting arrangements subject to the report going to board for approval in September 2022.

Key issues raised by members;

- *The lessons learnt from serious incidents framework should be strengthened.*

- **NWSSP Procurement Single Tender Actions and Quotations**

The report covered STA, SQA and RA's approved in the period 17/06/2022 to the 12/08/2022. The volumes, and values are lower for SQA/STAs approved in the previous two-month reporting period. The number of Retrospective Action requests remains similar to the previous reporting period. Values have increased however. There has been a significant breach in relation to the sourcing of locum medical staff. Several meetings have taken place with Medicine and Workforce and OD in order to address this issue. A new process has been agreed to ensure that this situation does not reoccur.

Key issues raised by members;

- *Progress in compliancy with procurement processes within primary care and other areas of the health board.*

- **West Glamorgan market stability report**

The West Glamorgan market stability report was approved at the Regional Partnership Board meeting on the 7th of July 2022. Following formal approval at the Regional Partnership Board, the report requires approval by full council and a similar decision making body within the Health Board. The market stability reports are the next step in the development of long term commissioning strategies for the care and support needs of local communities, including the development of accommodation that is fit for the future. Committee members **noted** the report with further discussions to take place at the September 2022 health board meeting.

Key risks and issues/matters of concern of which the board need to be made aware:

- **The action plan on tackling the planned care backlog**

The responses submitted by Welsh Government and the health board to the Auditor General's report on planned care backlogs were **noted** and it was **agreed** to receive a further update on the health board response to the Performance and Finance Committee in six-months.

- **Losses and special payments report**

The losses and special payments recorded during the period 1st April 2022 to 31st July 2022 totalled £3,285,554 of which £2,638,207 is recoverable from the Welsh Risk Pool, meaning that the actual loss to the Health Board in the period totals £647,347.

Key issues raised by members;

- *A comparison of how SBUHB compares to other health boards in terms of the amount of clinical negligence and damage claims reported.*

- **Clinical Audit and Mid-Year Report**

The report offered committee members an update on progress with national audits, health board identified audit/improvement priorities, the findings of assessments of key national guidance received at Clinical Outcomes and Effectiveness Group (COEG) meetings and a summary of themes generated by mortality reviews and the Medical Examiners system for the period April to June 2022. It was noted the Audit Management and Tracking system (AMaT) was recently secured cost free for a two-year period will support Service Delivery Groups and departments with improved monitoring and reporting on progress of the various levels of activity and any resulting action plans. The Executive Medical Director and Deputy CEO are currently working with colleagues to ensure more structure around the review of mortality data as a whole that includes; oversight of formal review of deaths via Mortality Reviews and the Medical Examiners (ME) cases received at the Learning from Deaths Panel and the broader aspects of linking mortality data to condition specific outcomes.

Key issues raised by members;

- *Next steps as a result of the work and how the health board should measure improvements moving forward;*
- *Completed clinical audits reflected poorly in the reporting and work was to take place to ensure targets are set.*

Delegated action by the committee:

None identified.

Main sources of information received:

The following reports were received with no significant issues raised:

- **Audit Registers and Status of Recommendations**

- **Post Payment Verification end of year reports**
- **The implementation of the quality management system Audit Wales performance and progress reports**
- **A progress report on the action against the declarations of interest internal audit and agree a revised standards of business conduct policy**
- **Internal Audit and Audit Wales Performance and Progress reports**
- **Management Response and Strategic/Operational Governance of the internal audit limited assurance report**
- **Audit Wales unscheduled care project brief**
- **EMRTS annual report and;**
- **A consultation document on healthcare procurement reform in Wales**

Highlights from sub-groups reporting into this committee:

None identified.

Matters referred to other committee

ESR functionality and capability to be referred to the Workforce and OD Committee.
Health and Safety limited assurance report to be referred to the Health and Safety Committee.

Date of next meeting

17th November 2022