

## Audit Committee Update – Swansea Bay University Health Board

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## About this document

- 1 This document provides the Audit Committee with an update on our current and planned accounts and performance audit work at Swansea Bay University Health Board.
- 2 We also provide additional information on:
  - Other relevant examinations and studies published by the Audit General.
  - Relevant corporate documents published by Audit Wales (e.g. fee schemes, annual plans, annual reports), as well as details of any consultations underway.
- 3 Details of future and past Good Practice Exchange (GPX) events are available on our [website](#).

## Accounts audit update

4 **Exhibit 1** summarises the status of our current and planned accounts audit work.

### Exhibit 1 – Accounts audit work

Area of work and	Executive Lead	Focus of the work	Current status	Planned date for consideration
Audit of the 2022-23 Accountability Report and Financial Statements	Director of Finance	To provide an audit opinion on the Health Board's Accountability Report and Financial Statements.	Ongoing	July 2023
Audit of the 2022-23 Charitable Funds Accounts	Director of Finance	To provide an audit opinion on the Health Board's Charitable Funds Accounts.	Audit work not yet started	December 2023/January 2024

## Performance audit update

5 Exhibit 2 summarises the status of our current and planned performance audit work.

### Exhibit 2 – Performance audit work

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
Review of the commissioning and contracting arrangements post Bridgend boundary change	Director of Strategy	This work will examine the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work will also consider the programme for service disaggregation (for relevant services), and whether the arrangements support future regional service models currently being explored by the organisations.	Complete	Considered January 2023

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
Structured Assessment	Director of Corporate Governance	<p>This work will continue to form the basis of the work we do to examine the existence of proper arrangements for the efficient, effective, and economical use of resources. The 2022 work will review the corporate arrangements in place at the Health Board in relation to:</p> <ul style="list-style-type: none"> <li>• Governance and leadership;</li> <li>• Financial management;</li> <li>• Strategic planning; and</li> <li>• Use of resources (such as digital resources, estates, and other physical assets).</li> </ul>	Complete	March 2023
Orthopaedic services – follow up	Chief Operating Officer	<p>This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to</p>	Awaiting publication.	March 2023

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
		<p>tackle the significant elective backlog challenges. Our findings will be summarised into a single national report with supplementary outputs setting out the local position for each health board.</p>		
<p>Review of Unscheduled Care</p>	<p>Chief Operating Officer</p>	<p>This work will examine different aspects of the unscheduled care system and will include analysis of national data sets to present a high-level picture of how the unscheduled care system is currently working. The work will include an examination of the actions being taken by NHS bodies, local government, and Regional Partnership Boards to secure timely and safe discharge of patients from hospital to help improve patient flow. We also plan to review progress being made in managing unscheduled care demand by helping patients access services which are most appropriate for their unscheduled care needs.</p>	<p>Fieldwork</p>	<p>To be confirmed</p>

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
Workforce Planning	Director of Workforce and OD	This review will assess the workforce risks that NHS bodies are experiencing currently and are likely to experience in the future. It will examine how local and national workforce planning activities are being taken forward to manage those risks and address short-, medium- and longer-term workforce needs.	Fieldwork	To be confirmed
Primary Care Services - Follow-up Review	Chief Operating Officer	In 2018, we conducted a review of primary care services, specifically considering whether the Health Board was well placed to deliver the national vision for primary care as set out in the national plan. We made several recommendations to the Health Board. This work will follow-up progress against these recommendations.	Planning	To be confirmed
Area of work – Review of Service Group Governance Arrangements	Director of Corporate Governance	The precise focus of this work is still to be determined but will look to review the effectiveness of the arrangements for governance at a service group level. This work will follow on from internal work	Planning	To be confirmed

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
		currently being undertaken by the Health Board to strengthen governance arrangements within service groups.		

## Other relevant publications

- 6 **Exhibit 3** provides information on other relevant examinations and studies published by the Auditor General in the last six months. The links to the reports on our website are provided. The reports highlighted in **bold** have been published since the last committee update.

### Exhibit 3 – Relevant examinations and studies published by the Auditor General

Title	Publication Date
<b><u>Orthopaedics Services in Wales – Tacking the Waiting list backlog</u></b>	<b>March 2023</b>
<b><u>Betsi Cadwaladr University Health Board – Review of Board Effectiveness</u></b>	<b>February 2023</b>
<b><u>Together we can – Community resilience and self-reliance</u></b>	<b>January 2023</b>
<u>A picture of Flood Risk Management</u>	December 2022
<u>'A Missed Opportunity' – Social Enterprises</u>	December 2022
<u>Poverty in Wales Data Tool</u>	November 2022
<u>Time for change – Poverty in Wales</u>	November 2022

## Additional information

- 7 **Exhibit 4** provides information on corporate documents published by Audit Wales since the last committee update. Links to the documents on our website are provided.
- 8 There are no relevant Audit Wales consultations currently underway.

Exhibit 4 – Audit Wales corporate documents

Title	Publication Date
<u>Fee Scheme 2023-24</u>	January 2023





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