



**GIG**  
CYMRU  
**NHS**  
WALES

Bwrdd Iechyd Prifysgol  
Bae Abertawe  
Swansea Bay University  
Health Board



<b>Meeting Date</b>	<b>09 March 2023</b>	<b>Agenda Item</b>	<b>5.3</b>
<b>Report Title</b>	<b>Outstanding Management Responses</b>		
<b>Report Author</b>	Liz Stauber, Head of Corporate Governance		
<b>Report Sponsor</b>	Hazel Lloyd, Director of Corporate Governance		
<b>Presented by</b>	Hazel Lloyd, Director of Corporate Governance		
<b>Freedom of Information</b>	Open		
<b>Purpose of the Report</b>	The purpose of the report is to share with the committee management responses to national Audit Wales reviews.		
<b>Key Issues</b>	<p>Following each Audit Wales review, the health board lead is asked to produce a management response setting out the actions to be taken to respond to the recommendations. These, along with the Audit Wales report, are then shared with the Audit Committee.</p> <p>This is also the case for national reviews, for which there have been a couple in the last few years but the responses were not ready for the Audit Committee to receive. These have now been prepared and comprise:</p> <ul style="list-style-type: none"> <li>• National Fraud Initiative;</li> <li>• Caring for the Carers Checklist;</li> <li>• Equality Impact Assessment.</li> </ul>		
<b>Specific Action Required</b> <i>(please choose one only)</i>	<b>Information</b>	<b>Discussion</b>	<b>Assurance</b>
	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Recommendations</b>	<p>Members are asked to:</p> <ul style="list-style-type: none"> <li>• <b>NOTE</b> the management responses for the following Audit Wales reviews: <ul style="list-style-type: none"> <li>• National Fraud Initiative;</li> <li>• Caring for the Carers Checklist;</li> <li>• Equality Impact Assessment.</li> </ul> </li> </ul>		

## OUTSTANDING MANAGEMENT RESPONSES

### 1. INTRODUCTION

The purpose of the report is to share with the committee management responses to national Audit Wales reviews.

### 2. BACKGROUND

Following each Audit Wales review, the health board lead is asked to produce a management response setting out the actions to be taken to respond to the recommendations. These, along with the Audit Wales report, are then shared with the Audit Committee.

This is also the case for national reviews, for which there have been a couple in the last few years but the responses were not ready for the Audit Committee to receive. These have now been prepared and comprise:

- National Fraud Initiative;
- Caring for the Carers Checklist;
- Equality Impact Assessment.

### 3. GOVERNANCE AND RISK ISSUES

#### (i) [National Fraud Initiative \(NFI\)](#)

The NFI is a counter-fraud exercise across the UK public sector which aims to prevent and detect fraud. It uses data sharing and matching to help confirm that services are provided to the correct people and describes the overall amounts for fraud, overpayments and error that are detected by the NFI exercise and an estimate of future losses that it prevents. The health board's management response is at **appendix one**.

#### (ii) [Caring for the Carers Checklist](#)

The report looked at how NHS bodies supported the wellbeing of their staff during the Covid-19 pandemic, with a particular focus on their arrangements for safeguarding staff at higher risk from Covid-19 and the health board's management response is at **appendix two**.

#### (iii) [Equality Impact Assessment \(EIA\)](#)

Audit Wales reviewed the approach to undertaking EIAs in public bodies in Wales, focusing on the 44 public bodies originally subject to the Wellbeing of Future Generations (Wales) Act 2015. The health board's management response is at **appendix three**.

### 4. FINANCIAL IMPLICATIONS

There are no financial implications from this report.

### 5. RECOMMENDATION

Members are asked to:

- **NOTE** the management responses for the following Audit Wales reviews:
  - National Fraud Initiative;
  - Caring for the Carers Checklist;
  - Equality Impact Assessment.

<b>Governance and Assurance</b>		
<b>Link to Enabling Objectives</b> <i>(please choose)</i>	<b>Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities</b>	
	Partnerships for Improving Health and Wellbeing	<input checked="" type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	<b>Deliver better care through excellent health and care services achieving the outcomes that matter most to people</b>	
	Best Value Outcomes and High Quality Care	<input checked="" type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
<b>Health and Care Standards</b>		
<i>(please choose)</i>	Staying Healthy	<input checked="" type="checkbox"/>
	Safe Care	<input checked="" type="checkbox"/>
	Effective Care	<input checked="" type="checkbox"/>
	Dignified Care	<input checked="" type="checkbox"/>
	Timely Care	<input checked="" type="checkbox"/>
	Individual Care	<input checked="" type="checkbox"/>
	Staff and Resources	<input checked="" type="checkbox"/>
<b>Quality, Safety and Patient Experience</b>		
Using the learning and findings of national audits as well as local ones can provide opportunities to further enhance the quality and safety of services as well as patient experience.		
<b>Financial Implications</b>		
There are no financial implications for the committee to be aware of.		
<b>Legal Implications (including equality and diversity assessment)</b>		
There are no legal implications for the committee to be aware of.		
<b>Staffing Implications</b>		
There are no staffing implications for the committee to be aware of.		
<b>Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)</b>		
Learning from audits can provide longer-term service change and improvements for a more sustainable patient service.		
<b>Report History</b>	First report to the committee.	
<b>Appendices</b>	Management responses for: Appendix 1 - National Fraud Initiative; Appendix 2 - Caring for the Carers Checklist; Appendix 3 - Equality Impact Assessment.	