



WALES AUDIT OFFICE  
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru  
Auditor General for Wales

# Audit Committee Update – **Abertawe Bro Morgannwg University Health Board**

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We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

The team providing this Audit Committee update comprised Carol Moseley and Geraint Norman.

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## About this document

- 1 This document provides the Audit Committee with an update on current and planned Wales Audit Office work as set out in our Audit Plans. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations.

## Audit plan

- 2 Details of the finalisation of our audit plans for 2017 and 2018 is summarised in [Exhibit 1](#).

### Exhibit 1: audit plans

Area of work	Current status
Audit Plan 2017	Complete - presented to Audit Committee March 2017.
Audit Plan 2018	Complete - presented to Audit Committee March 2018.

## Financial audit update

- 3 Our key financial audit reports are set out in [Exhibit 2](#).

### Exhibit 2: financial audit update

Area of work	Current status
Annual Audit Report 2017	Presented to Audit Committee and Board March 2018.
Accounts Audit – Audit of Financial Statements Report 2017-18	Presented to Audit Committee and Board June 2018.
Charitable Funds – Audit of Financial Statements Report 2017-18	Audit completion expected October 2018. Report to be received at Charitable Funds Committee.

## Performance audit update

- 4 The following tables set out the performance audit work included in our 2017 and 2018 audit plans for the Health Board, summarising completed work ([Exhibit 3](#)), work that is currently underway ([Exhibit 4](#)) and planned work which has not yet started ([Exhibit 5](#)).

Exhibit 3: work completed<sup>1</sup>

Area of work	Status
Structured Assessment (2017)	Presented to Audit Committee March 2018.

Exhibit 4: work currently in progress

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Primary Care (2017) Executive lead: Chris White	This study will involve national and local audit work.	Drafting report	TBC.- November /January 2018
Cross-sector thematic (2017): Intermediate Care Fund Executive lead: Sian Harrop-Griffiths	This review will involve all public sector bodies, examining ICF arrangements at national and regional levels.	Fieldwork complete and presentation of local findings to the RPB planned for 30 October. National report anticipated early 2019.	TBC – January / March 2019
NHS Structured Assessment <sup>2</sup> (2018) Executive lead: Tracy Myhill/ Pamela Wenger	This work will continue to assess the robustness of governance arrangements, and the progress being made in addressing issues previously identified.	Fieldwork	TBC – January 2019
Clinical Coding: Follow up (all-Wales thematic review 2018)	To examine the progress made since 2014 in responding to audit recommendations.	Fieldwork commencing	TBC – January / March 2019

<sup>1</sup> The Health Board's Audit Committee considered at its meeting in January 2018, our report on collaborative arrangements for managing local public health resources and the NHS Wales management response.

<sup>2</sup> Structured assessment work includes a review of the Health Board's arrangements for tracking progress against previous audit recommendations.

Exhibit 5: planned work not yet started

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Local audit work <sup>3</sup> (2017) Executive lead: Pam Wenger	Board development session on assurance, scrutiny and challenge. Provisional date: 25 October 2018.	Timing <sup>4</sup> / scope being confirmed with executive lead.	-
Orthopaedic Services: Follow up (all-Wales thematic review 2018)	To examine the progress made since our 2015 audit of orthopaedic services.	Scoping	TBC
Local audit project (2018)  Executive lead: Chris White [tbc]	To be agreed with executive officers and the Audit Committee. Potential topic: follow-up review of 'waiting times'	Discussion with Executive Lead 11 September 2018.	TBC

## Good practice

- 5 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research. Our Good Practice Exchange (GPX) team also facilitates a programme of shared learning events, details of which can be found on the [GPX website](#). Future events include:
- 19 September: [Working in partnership: holding up the mirror](#) – seminar
  - 11 October: [Building resilient communities](#) – seminar
  - 16 October: [Uing data effectively to enable better decision making](#) - webinar
  - 4 December: Alternative Delivery Model – seminar
  - 24 January: Cybersecurity - Governance arrangements - webinar
  - February (tbc): Preventing Hospital admissions - seminar
  - 12 March: Youth - seminar

<sup>3</sup> Additional audit input in relation to Structured Assessment 2017 and audit support for Board development in 2018, focusing on assurance, scrutiny and challenge.

<sup>4</sup> Agreed for timing to account for the Kings Fund Board development programme and 2018 board member appointments.

## NHS-related national studies

- 6 The Audit Committee may also be interested in the programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded by the National Assembly and are presented to the National Assembly's Public Accounts Committee to support its scrutiny of public expenditure.
- 7 **Exhibit 6** provides information on the NHS-related or relevant national studies published in the last 12 months. It also includes all-Wales summaries of work undertaken locally in the NHS.

Exhibit 6: NHS-related national studies and all-Wales summary reports

Topic	Publication details
<a href="#"><u>Primary Care Out-of-Hours Services</u></a>	Report published 12 July 2018.
<a href="#"><u>Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities</u></a>	Report published 24 May 2018.
<a href="#"><u>Reflecting on Year One – how have public bodies responded to the Well-being of Future Generations (Wales) Act 2015</u></a>	Report published 10 May 2018.
<a href="#"><u>Speak my Language: overcoming language and communication barriers in public services.</u></a>	Report published 26 April 2018.
<a href="#"><u>A Picture of Primary Care in Wales</u></a>	Report published 24 April 2018. It is also available in an <a href="#"><u>online version</u></a> .
<a href="#"><u>Housing adaptations</u></a>	Report published 22 February 2018.
<a href="#"><u>Informatics systems in NHS Wales</u></a>	Report published 10 January 2018.
<a href="#"><u>District nursing services in Wales</u></a> – checklist for Board Members	Checklist published 7 December 2017.
<a href="#"><u>Public procurement in Wales</u></a>	Report published 17 October 2017.

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