



## Audit Committee Action Log

Open Actions						
Action No.	Minute Ref.	Date	Agreed Action	Lead	Timescale	Status
1.	120/19	19.09.2019	<p><b>Internal Audit Progress and Assignment Summary.</b></p> <p>Feedback from the Quality and Safety Committee on the WHO checklist action plan to be received at the next meeting.</p>	Pamela Wenger	November 2019	<p><b>In progress.</b></p> <p>Will be discussed at Q&amp;S Committee and feedback provided to AC in due course.</p>
2.	124/19	19.09.2019	<p><b>Bridgend Boundary Change Balance Sheet Disaggregation Process</b></p> <p>Letter to be sent to Steve Webster to gain assurance that Cwm Taf Health Board are comfortable with the working papers in order to avoid disputes later in the process.</p>	Lynne Hamilton	November 2019	<p><b>In progress.</b></p>
3.	126/19	19.09.2019	<p><b>Single Tender Actions and Quotations</b></p> <p>Keir Warner to ensure all units have</p>	Keir Warner	November 2019	<p><b>In progress.</b></p>

			medical director input in the development of the procurement strategy.			
4.	105/19	15.07.2019	<b>Internal Audit</b> Update report on the progress on Safe Water Management action plan be received at the September Committee.	Gareth Howells	November 2019	<b>Work In progress</b> Item to be discussed at the Health and Safety Committee and brought back to the Audit Committee in January 2020.
<b>Closed Actions</b>						
Action No.	Minute Ref.	Date	Agreed Action	Lead	Timescale	Status
5.	93/19	15.07.2019	<b>Risk Register</b> Martin Sollis to speak with Tracy Myhill with regards to the Workforce and OD Capacity risk.	Martin Sollis	July 2019	<b>Completed.</b>
6.	99/19	15.07.2019	<b>Audit Registers</b> Pam Wenger to raise the issue at the executive team meeting and undergo a deep dive of areas with high numbers of outstanding recommendations.	Pam Wenger	July 2019	<b>Completed</b> Deep Dive to be arranged for an appropriate Executive Board meeting.
7.	101/19	15.07.2019	<b>Health Board Risk Register</b> Tom Crick, Pam Wenger and Matt John to discuss IT system outages and the dependency on national systems.	Tom Crick/Pam Wenger	July 2019	<b>Completed</b>

8.	125/19	19.09.2019	<p><b>Audit Registers and Status of Recommendations</b></p> <p>The Audit Register report to be circulated to executives prior to the Audit Committee via the Executive Team Meeting.</p>	Pamela Wenger/Andrew Biston	November 2019	<p><b>Completed</b></p> <p>This has been shared in advance with the Executive Team</p>
9.	126/19	19.09.2019	<p><b>Single Tender Actions and Quotations</b></p> <ul style="list-style-type: none"> <li>- Keir Warner to provide Martin Sollis with a breakdown of consultancy fees;</li> <li>- Keir Warner to contact Martin Sollis outside of the committee with background information on the STA for <i>Waiting List Initiative – TURP - Sancta Maria Hospital</i> and the STA for the <i>Glaucoma Service</i>.</li> <li>- The next iteration of the report to be circulated earlier in order for queries to be raised with Executive colleagues.</li> <li>- A meeting to be arranged to discuss supporting the process of defining STAs.</li> </ul>	<p>Keir Warner</p> <p>Keir Warner</p> <p>Keir Warner</p> <p>Pamela Wenger/ Keir Warner</p>	<p>November</p> <p>November 2019</p> <p>November 2019</p> <p>November 2019</p>	<p><b>Completed.</b></p> <p><b>Completed.</b></p> <p><b>Completed</b></p> <p><b>Completed</b></p> <p>Meeting took place on the 14.10.19</p>

10.	127/19	19.09.2019	<p><b>Senior Information Risk Owner Annual Report</b></p> <ul style="list-style-type: none"> <li>- Pam Wenger to make enquiries about the WAO report referred to under 2.5.1 and feedback to Dave Thomas.</li> <li>- Pam Wenger to inform Sian Richards of the requirement to include information on quantification from the risk register in the next iteration of the report.</li> </ul>	Pamela Wenger	November 2019	<p><b>Completed.</b></p> <p>Clarified this was fieldwork and the reference in the final report has been amended</p> <p><b>Completed.</b></p>
11.	45/19	21.03.2019	<p><b>Board Assurance Framework</b></p> <p>Reviews of various external regulators, inspectors and professional bodies to be included within the board assurance framework.</p>	Pamela Wenger	July 2019	<p><b>Completed</b></p> <p>This has been factored into the Board Assurance Framework.</p>
12.	46/19	21.03.2019	<p><b>Long Waiters</b></p> <p>Receive an update on the progress of the actions arising from the Long Waiters report at the next committee.</p>	Darren Griffiths	May 2019	<p><b>Completed – On the agenda</b></p>