



Meeting Date	19th September 2019	Agenda Item	6.2
Report Title	Senior Information Risk Owner (SIRO) Report		
Report Author	Sian Richards, Deputy Chief Information Officer		
Report Sponsor	Pam Wenger, Director of Corporate Governance / Board Secretary / SIRO Matt John, Associate Director of Informatics / Chief Information Officer		
Presented by	Sian Richards, Deputy Chief Information Officer		
Freedom of Information	Open		
Purpose of the Report	<p>This report presents to Audit Committee the annual Senior Information Risk Owners (SIRO) report. This type of report is seen nationally as good practice to inform Board members of the information governance challenges and to satisfy regulatory requirements.</p> <p>The report provides an overview of the Information Governance agenda across the disciplines of Information Governance, Health Records and Clinical Coding, Data Quality and Cyber Security</p>		
Key Issues	<p>This is the third year that the report has been produced and demonstrates legislative and regulatory requirements relating to the handling, quality, availability and management of information, including compliance with the Data Protection Act (1998) (2018), General Data Protection Regulations (GDPR) and the Freedom of Information Act (2000).</p> <p>The report also meets the requirements of Welsh Government Ministerial letter on Data Quality reporting and Welsh Audit Office recommendations of reporting of Clinical Coding performance to the Health Board.</p> <p>The report serves to inform of the work being undertaken, to understand and manage risks in respect of information and to protect the interests of patients and staff.</p> <p>The report details key achievements of</p> <ul style="list-style-type: none"> • A very good Caldicott in Practice self-assessment score of 90%. 		

	<ul style="list-style-type: none"> • Improved training compliance, at the end of 2018/19 the Health Board stood at 85% overall compliance, a 25% increase from 12 months previously. • Implementation of the Individual Asset Register (IAR). As of 31st March 2019 the IAR held details of 1834 assets, all quality assured. • Achievement of 99.95% compliance with Subject Access Requests • In November 2018, Internal Audit undertook their review of the GDPR Work Plan which resulted in their report noting an achievement of Substantial Assurance attained • The Health Board has achieved the Year End Clinical Coding Completeness Target for 2018/2019, attaining 99% coding completeness for the year end. • ABMU Health Board performance against the Data Quality standards for data submitted within 2018/19 financial year. ABMU achieved 98%, • Improving Cyber Security assessments and robust plans implemented with good progress in reducing risk across ICT operations and the Health Board 			
Specific Action Required <i>(please choose one only)</i>	Information	Discussion	Assurance	Approval
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Recommendations	Members are asked to: <ul style="list-style-type: none"> • Approve the report for submission to the Health Board • Note the assurances and progress provided across all areas of the report. • Note the objectives and priorities across the four sections of the report for 2019/20. 			

Governance and Assurance		
Link to Enabling Objectives <i>(please choose)</i>	Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities	
	Partnerships for Improving Health and Wellbeing	<input type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input checked="" type="checkbox"/>
	Deliver better care through excellent health and care services achieving the outcomes that matter most to people	
	Best Value Outcomes and High Quality Care	<input type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input checked="" type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
Health and Care Standards		
<i>(please choose)</i>	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input checked="" type="checkbox"/>
	Effective Care	<input type="checkbox"/>
	Dignified Care	<input type="checkbox"/>
	Timely Care	<input type="checkbox"/>
	Individual Care	<input type="checkbox"/>
	Staff and Resources	<input checked="" type="checkbox"/>
Quality, Safety and Patient Experience		
<p>The SIRO must advocate at the Board the reduction of information risk by ensuring effective use of resource, commitment and execution and appropriate communication to all staff. The aim is to create a culture in which information is valued as an asset and information risk is managed in a realistic and effective manner within the legislative frameworks that pertain to the Health Board.</p>		
Financial Implications		
Not applicable		
Legal Implications (including equality and diversity assessment)		
<ul style="list-style-type: none"> • General Data Protection Regulation (May 2018) • Data Protection Act (2018) • Public Records Act (1958) • Access to Health Records Act (1990) • Freedom of Information Act (2000) • Computer Misuse Act (2000) • Environmental Information Legislation (2004) • Caldicott Principles in Practice (CPIP) • Common Law duty of confidentiality • Wales Accord to Share Personal Information(WASPI) • Data Quality Standards and WHC • Information Security Assurance - ISO 27001:2005 & 2013 Information security management (formerly BS7799) • Records Management, NHS Code of Practice • Other appropriate legislation 		

Staffing Implications	
n/a	
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)	
Digital transformation and safe and secure use of technology and information is fully congruent with the aspirations of the future generations act	
Report History	Information Governance Committee Senior Leadership Team Audit committee Health Board
Appendices	Included in report in section 3 and 4