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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board

Cadeirydd/Chair: **Emma Woollett**
Prif Weithredwr/Chief Executive: **Mark Hackett**

gofalu am ein gilydd, cydweithio, gwella bob amser
caring for each other, working together, always improving

Rydym yn croesawu gohebiaeth yn y Gymraeg ac yn y Saesneg. We welcome correspondence in Welsh or English.

Dyddiad/Date: 15.04.21
Ein Cyf / Our Ref: 21-C-024

01639 648363

FOIA.Requests@wales.nhs.uk

[REDACTED]

Corporate Services
Headquarters
1 Talbot Gateway
Baglan
Port Talbot, SA12 7BR

[REDACTED]

I refer to your Freedom of Information Act Request acknowledged by ourselves on 19th March 2021. Your request sought information relating to whistle blowers.

1. How many reports did you receive from whistle blowers in 2019 and in 2020?

2019 - During 2019, whistleblowing cases were held manually on a spreadsheet. This spreadsheet held a record of cases covering a number of years, but did not record the dates that the whistleblowing concerns were received. Therefore, we are not able to extract cases received solely in 2019.

2020 - <5 cases were raised under the All Wales Raising Concerns Policy (Whistleblowing) during 2020.

Where fewer than 5 (<5) has been indicated we are unable to provide you with the exact number of reports as due to the low numbers, there is a potential risk of identifying individuals if this was disclosed. We are therefore withholding this detail under Section 40(2) of the Freedom of Information Act 2000. This information is protected by the General Data Protection Regulation (GDPR) and Data Protection Act 2018 and its disclosure would be contrary to the data protection principles and constitute as unfair and unlawful processing in regard to Articles 5, 6, and 9 of GDPR. This exemption is absolute and therefore there is no requirement to apply the public interest test.

2. How many people do you have in your organisation that are trained/permitted to take reports from whistle blowers?

All managers are permitted to take reports from whistle blowers. A centralised list



Pencadlys BIP Bae Abertawe, Un Porthfa Talbot, Port Talbot, SA12 7BR / Swansea Bay UHB Headquarters, One Talbot Gateway, Port Talbot, SA12 7BR

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Swansea Bay University Health Board is the operational name of Swansea Bay University Local Health Board

of these individuals is not held, therefore we cannot provide the exact number.

3. What training have the people in question number 2 received in whistleblowing?

Swansea Bay Health Board provides online learning packages internally for both managers and employees, encouraging and supporting speaking out about concerns.

4. What cost, if any, was there for this training?

Nil – this training is provided internally.

5. Which organisation delivered each course?

Not applicable – see question 4.

6. What is the name of the person that arranged this training and what is their email address?

Overall responsibility for this training would fall under our Director of Workforce whose details we have provided below;

Kathryn Jones – Interim Director of Workforce and OD

Kathryn.Jones25@wales.nhs.uk

7. What methods do you have for whistle blowers to contact a person that is authorised to take their report and what are the specific details of these, i.e., email addresses, telephone numbers etc?

Employees raising concerns can contact any manager via any platform or method i.e. by email, letter, by phone, in person etc.

8. What are the email addresses for the people authorised to receive whistle blower reports?

All managers are authorised to receive concerns from employees. As per question 2, we do not have a centralised contact list of these individuals.

9. How many whistle blowers have left the organisation within 12 months of making a report?

None of the whistle blowers have left the organisation within 12 months of a report.

10. How many whistle blowers have made an allegation of 'detriment' against your organisation?

None of the whistle blowers have raised a grievance against the organisation.

I hope this information is helpful. If you require anything further please contact us at FOIA.Requests@wales.nhs.uk.

Under the terms of the Health Board's Freedom of Information policy, individuals seeking access to recorded information held by the Health Board are entitled to request internal review of the handling of their requests. If you would like to complain



about the Health Board's handling of your request please contact me directly at the address below or register your complaint via FOIA.Requests@wales.nhs.uk.

If after Internal Review you remain dissatisfied you are also entitled to refer the matter to the information commissioner at the Information Commissioner's Office (Wales), 2nd Floor, Churchill House, Churchill Way, Cardiff, CF10 2HH. Telephone Number: 029 2067 8400.

Yours sincerely

A handwritten signature in black ink, appearing to read 'L.M. Jones', with a long horizontal flourish underneath.

On behalf of

Pam Wenger

Director of Corporate Governance

